Form **990** | **Return of Organization Exempt From Income Tax** | OMB No. 1545-0047

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990

Department of the Treasury
Internal Revenue Service

2013

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning JUL 1, 2013 and ending JUN 30, 2014

B Check if applicable: [ ] Address change [ ] Name change [ ] Initial return [ ] Terminated [ ] Amended return [ ] Application pending

C Name of organization: AUBURN THEOLOGICAL SEMINARY

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address): 475 RIVERSIDE DRIVE — Room/suite: 1800

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10115

D Employer identification number: 15-0532053

E Telephone number: (212) 662-4315

G Gross receipts $ 11,304,807.

F Name and address of principal officer: KATHARINE R. HENDERSON
SAME AS C ABOVE

H(a) Is this a group return for subordinates? [ ] Yes [X] No

H(b) Are all subordinates included? [ ] Yes [ ] No
If "No," attach a list. (see instructions)

I Tax-exempt status: [X] 501(c)(3) [ ] 501(c) ( ) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.AUBURNSEMINARY.ORG

H(c) Group exemption number ▶

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation: 1818 **M** State of legal domicile: NY

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: AUBURN EQUIPS BOLD, RESILIENT LEADERS OF FAITH AND MORAL COURAGE TO PURSUE JUSTICE AND HEAL

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	23
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	23
5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	37
6	Total number of volunteers (estimate if necessary)	6	24
7 a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	3,593,495.	4,379,036.
	9	Program service revenue (Part VIII, line 2g)	286,833.	223,295.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	581,746.	924,206.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	103,031.	-5,917.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	4,565,105.	5,520,620.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	12,556.	226,200.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	3,588,884.	3,227,773.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	55,000.	90,100.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 568,237.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	2,796,672.	2,187,318.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	6,453,112.	5,731,391.
	19	Revenue less expenses. Subtract line 18 from line 12	-1,888,007.	-210,771.

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	28,138,137.	31,052,327.
	21	Total liabilities (Part X, line 26)	619,118.	685,009.
	22	Net assets or fund balances. Subtract line 21 from line 20	27,519,019.	30,367,318.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ Signature of officer — Date

▶ EILEEN MACHOLL, CHIEF FINANCIAL OFFICER
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [ ] if self-employed	PTIN
GARRETT M. HIGGINS	GARRETT M. HIGGINS	10/28/14		P00543209

Firm's name ▶ O'CONNOR DAVIES, LLP — Firm's EIN ▶ 27-1728945

Firm's address ▶ 665 FIFTH AVENUE
NEW YORK, NY 10022 — Phone no. (212)286-2600

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission:
AUBURN SEMINARY EQUIPS BOLD, RESILIENT LEADERS - RELIGIOUS AND SECULAR, WOMEN AND MEN, ADULTS AND TEENS - WITH THE TOOLS AND RESOURCES THEY NEED TO CREATE CHANGE IN OUR MULTIFAITH WORLD. AUBURN PROVIDES EDUCATION, RESEARCH, PLATFORMS FOR PUBLIC LEADERSHIP

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No
If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No
If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 1,704,384. including grants of $ 226,200.) (Revenue $ 101,884.)
EDUCATION/THEOLOGY: AUBURN USES A VARIETY OF INNOVATIVE PEDAGOGICAL APPROACHES AND METHODOLOGIES TO EQUIP AND SUSTAIN INDIVIDUALS AS WELL AS COHORTS OF RELIGIOUS AND COMMUNITY LEADERS TO REACH ACROSS LINES OF RELIGIOUS DIFFERENCE TO: (1) ADDRESS TODAY'S MOST PRESSING ISSUES, (2) DEVELOP TRAITS SUCH AS RESILIENCE THEY NEED FOR OUR MULTIFAITH, FAST-CHANGING WORLD, AND (3) USE THE WISDOM OF THEIR FAITH TRADITIONS IN ACHIEVING THESE GOALS. A NEW AUBURN SENIOR FELLOWS PROGRAM IS EQUPPING AND COACHING GAME-CHANGING LEADERS TO BUILD THEIR NATIONAL IMPACT.
THE SOJOURNER TRUTH LEADERSHIP CIRCLE HELPS AFRICAN-AMERICAN RELIGIOUS LEADERS TO CARE FOR THEIR MIND, BODY AND SPIRIT IN ORDER TO SUSTAIN THEIR LEADERSHIP.

4b (Code:) (Expenses $ 993,658. including grants of $) (Revenue $ 38,811.)
MEDIA: AUBURN MEDIA PROVIDES MEDIA EXPERTISE TO SEASONED AND EMERGING RELIGIOUS LEADERS, INCLUDING THOSE PARTICIPATING IN AUBURN PROGRAMS, AND RELIGIOUS EXPERTISE TO THE MEDIA. AUBURN MEDIA EQUIPS LEADERS OF FAITH AND MORAL COURAGE TO COMMUNICATE EFFECTIVELY WITH AND THROUGH THE MEDIA ON ISSUES OF PRESSING SOCIAL CONCERN AND TO INFORM AND INSPIRE CONSTITUENCIES TO TAKE ACTION. IT ALSO HELPS JOURNALISTS AND OTHER MEDIA MAKERS TO CONNECT WITH AND COVER THE RELIGIOUS VOICES AND STORIES WE NEED TO HEAR THE MOST.
AUBURN'S ONE-ON-ONE AND GROUP MEDIA TRAININGS AND INDIVIDUAL COACHING SESSIONS EQUIP FAITH-ROOTED LEADERS, CLERGY AND SEMINARY STUDENTS, AS WELL AS THEIR COMMUNITY PARTNERS, WITH THE SKILLS, CAPACITIES, AND MESSAGING STRATEGIES THEY NEED TO INSPIRE AND EDUCATE THROUGH PRINT

4c (Code:) (Expenses $ 940,818. including grants of $) (Revenue $ 21,650.)
ACTION: AUBURN ACTION EQUIPS FAITH-ROOTED LEADERS TO DEVELOP STRATEGIES AND SKILLS FOR USING SOCIAL MEDIA TO INSPIRE CONGREGANTS AND CONSTITUENCIES, BUILD COMMUNITY, AND MOVE PEOPLE TO TAKE ACTION.

GROUNDSWELL, AUBURN'S ONLINE COMMUNITY FOR SOCIAL CHANGE AND MORAL COURAGE, HAS INCLUDED SOME 100,000 PARTICIPANTS. GROUNDSWELL ALSO SERVES AS A FREE, EDUCATIONAL DIGITAL PLATFORM THAT FAITH-ROOTED LEADERS AND ACTIVISTS LEARN TO USE TO INFORM AND ENGAGE OTHERS TO MOVE ON PRESSING SOCIAL CONCERNS. AUBURN ACTION COACHES AND ASSISTS FAITH AND COMMUNITY LEADERS TO AMPLIFY THEIR CALLS FOR JUSTICE THROUGH GROUNDSWELL ON ISSUES SUCH AS PREVENTING GUN VIOLENCE AND HUMAN TRAFFICKING, ACHIEVING A MORAL ECONOMY, COUNTERING ISLAMOPHOBIA AND

4d Other program services (Describe in Schedule O.)
(Expenses $ 878,346. including grants of $) (Revenue $ 60,950.)

4e Total program service expenses ▶ 4,517,206.

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	X	
2	Is the organization required to complete *Schedule B, Schedule of Contributors*?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8	X	
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	X	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	X	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b	X	
c	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		X
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	X	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17	X	
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	X	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules *(continued)*

		Line	Yes	No
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	X	
22	Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	X	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25a*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II	26	X	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	X	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34		X
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V ☐

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	83		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	37		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?		2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* (see instructions)				
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?		3a		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No," to line 3b, provide an explanation in Schedule O*		3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4a		X
b	If "Yes," enter the name of the foreign country: ▶				
	See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		5b		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6b		
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		7a	X	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		7b	X	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7f		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		8		
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the organization make any taxable distributions under section 4966?		9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		9b		
10	**Section 501(c)(7) organizations.** Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11	**Section 501(c)(12) organizations.** Enter:				
a	Gross income from members or shareholders	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state?		13a		
	Note. See the instructions for additional information the organization must report on Schedule O.				
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b			
c	Enter the amount of reserves on hand	13c			
14a	Did the organization receive any payments for indoor tanning services during the tax year?		14a		X
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*		14b		

Part VI Governance, Management, and Disclosure *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	23		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.				
b	Enter the number of voting members included in line 1a, above, who are independent	1b	23		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2			X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3			X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4			X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5			X
6	Did the organization have members or stockholders?	6			X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a			X
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b			X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?	8a		X	
b	Each committee with authority to act on behalf of the governing body?	8b		X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*	9			X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		X
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	X	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	12c	X	
13	Did the organization have a written whistleblower policy?	13	X	
14	Did the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15b	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶SEE SCHEDULE O

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

[X] Own website [X] Another's website [X] Upon request [ ] Other *(explain in Schedule O)*

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ▶

EILEEN MACHOLL - (212) 662-4315

475 RIVERSIDE DRIVE, NO. 1800, NEW YORK, NY 10115

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) MARK HOSTETTER BOARD CHAIR	3.00	X		X				0.	0.	0.
(2) KANYERE EATON BOARD MEMBER THRU OCTOBER 2013	3.00	X						0.	0.	0.
(3) CARLSON GERDAU BOARD MEMBER	3.00	X						0.	0.	0.
(4) JOHN GOLIEB TREASURER	3.00	X		X				0.	0.	0.
(5) JAMES HENDERSON BOARD MEMBER	3.00	X						0.	0.	0.
(6) NANCY S. JENNINGS BOARD MEMBER	3.00	X						0.	0.	0.
(7) ANGELA BUCHDAHL BOARD MEMBER	3.00	X						0.	0.	0.
(8) STEPHEN KEYSER BOARD MEMBER	3.00	X						0.	0.	0.
(9) WILLIAM LONGBRAKE SECRETARY	3.00	X		X				0.	0.	0.
(10) RICHARD STOWE BOARD MEMBER	3.00	X						0.	0.	0.
(11) NICKI TANNER BOARD VICE CHAIR	3.00	X		X				0.	0.	0.
(12) ANNE WAASDORP BOARD MEMBER	3.00	X						0.	0.	0.
(13) THOMAS YORTY BOARD MEMBER	3.00	X						0.	0.	0.
(14) MELINDA WOLFE BOARD MEMBER	3.00	X						0.	0.	0.
(15) OTIS MOSS III BOARD MEMBER	3.00	X						0.	0.	0.
(16) GEORGE GOTSCIK BOARD MEMBER	3.00	X						0.	0.	0.
(17) GAIL FURMAN BOARD MEMBER	3.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(18) LAURA PHILLIPS BOARD MEMBER	3.00	X						0.	0.	0.
(19) CAROLYN BUCK-LUCE BOARD MEMBER	3.00	X						0.	0.	0.
(20) PHILIP GOW BOARD MEMBER	3.00	X						0.	0.	0.
(21) S.A. IBRAHIM BOARD MEMBER	3.00	X						0.	0.	0.
(22) MARY BYRON BOARD MEMBER	3.00	X						0.	0.	0.
(23) DEBORAH RICHARDSON BOARD MEMBER	3.00	X						0.	0.	0.
(24) KURT ROELOFFS BOARD MEMBER	3.00	X						0.	0.	0.
(25) KATHARINE HENDERSON PRESIDENT	40.00			X				184,688.	0.	34,706.
(26) EILEEN MACHOLL CFO	40.00			X				123,867.	0.	19,319.
1b Sub-total ▶								308,555.	0.	54,025.
c Total from continuation sheets to Part VII, Section A ▶								1,158,659.	0.	206,878.
d Total (add lines 1b and 1c) ▶								1,467,214.	0.	260,903.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 10

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3	X	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
CAMINO PR 134 W 18TH ST, NEW YOK, NY 10011	COMMUNICATIONS CONSULTING	213,446.
PATTERSON BELKNAP, WEBB & TYLER LLP, 1133 AVENUE OF THE AMERICAS, NEW YORK, NY 10036	LEGAL SERVICES	209,923.

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 2

SEE PART VII, SECTION A CONTINUATION SHEETS

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(27) JOHN VAUGHN EXECUTIVE VICE PRESIDENT	40.00			X				161,588.	0.	40,332.
(28) SUSAN MILAMED VP, PHILANTHROPIC PARTNERSHIPS	40.00				X			171,199.	0.	7,706.
(29) ANTHONY RUGER SENIOR RESEARCH FELLOW	40.00					X		123,721.	0.	13,207.
(30) JOHN C. AUSTIN VP, CHRISTIAN LEADERSHIP	40.00					X		120,774.	0.	32,579.
(31) WALLACE ALSTON VP, STRATEGY, MEDIA & ENGAGEMENT	40.00					X		123,917.	0.	35,163.
(32) JUSTUS BAIRD DEAN	40.00					X		121,367.	0.	31,754.
(33) ISAAC LURIA VP, AUBURN ACTION	40.00					X		118,677.	0.	35,984.
(34) BARBARA WHEELER CSTE DIR. THRU JANUARY 2013	40.00						X	217,416.	0.	10,153.
Total to Part VII, Section A, line 1c								1,158,659.		206,878.

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c 457,887.				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 3,921,149.				
	g Noncash contributions included in lines 1a-1f: $ 252,417.					
	h **Total.** Add lines 1a-1f ▶		4,379,036.			
Program Service Revenue		Business Code				
	2 a TUITION & FEES	611600	223,295.	223,295.		
	b					
	c					
	d					
	e					
	f All other program service revenue					
	g **Total.** Add lines 2a-2f ▶		223,295.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) ▶		241,477.			241,477.
	4 Income from investment of tax-exempt bond proceeds ▶					
	5 Royalties ▶					
		(i) Real / (ii) Personal				
	6 a Gross rents					
	b Less: rental expenses					
	c Rental income or (loss)					
	d Net rental income or (loss) ▶					
	7 a Gross amount from sales of assets other than inventory	(i) Securities 6,339,272. / (ii) Other				
	b Less: cost or other basis and sales expenses	(i) 5,584,506. / (ii) 72,037.				
	c Gain or (loss)	(i) 754,766. / (ii) -72,037.				
	d Net gain or (loss) ▶		682,729.			682,729.
	8 a Gross income from fundraising events (not including $ 457,887. of contributions reported on line 1c). See Part IV, line 18	a 28,644.				
	b Less: direct expenses	b 127,644.				
	c Net income or (loss) from fundraising events ▶		-99,000.			-99,000.
	9 a Gross income from gaming activities. See Part IV, line 19	a				
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities ▶					
	10 a Gross sales of inventory, less returns and allowances	a				
	b Less: cost of goods sold	b				
	c Net income or (loss) from sales of inventory ▶					
	Miscellaneous Revenue	Business Code				
	11 a MISCELLANEOUS REVENUE	900099	93,083.			93,083.
	b					
	c					
	d All other revenue					
	e **Total.** Add lines 11a-11d ▶		93,083.			
	12 **Total revenue.** See instructions. ▶		5,520,620.	223,295.	0.	918,289.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX [X]

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	39,500.	39,500.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	30,700.	30,700.		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16	156,000.	156,000.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	785,187.	527,447.	83,766.	173,974.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	1,926,103.	1,666,354.	126,498.	133,251.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	139,927.	122,583.	10,931.	6,413.
9 Other employee benefits	258,528.	193,138.	40,058.	25,332.
10 Payroll taxes	118,028.	98,432.	9,554.	10,042.
11 Fees for services (non-employees):				
a Management				
b Legal	48,837.	32,149.	14,963.	1,725.
c Accounting	36,250.	21,750.	14,500.	
d Lobbying	210.		210.	
e Professional fundraising services. See Part IV, line 17	90,100.			90,100.
f Investment management fees	66,943.		66,943.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	921,176.	819,175.	15,719.	86,282.
12 Advertising and promotion	91,023.	77,399.	7,426.	6,198.
13 Office expenses	152,271.	107,983.	37,743.	6,545.
14 Information technology	134,689.	92,496.	38,466.	3,727.
15 Royalties				
16 Occupancy	289,496.	173,823.	115,579.	94.
17 Travel	282,764.	257,465.	7,330.	17,969.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	26,663.	17,238.	2,886.	6,539.
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	61,220.	36,732.	24,488.	
23 Insurance	72,017.	43,210.	28,807.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a OPERATING EXPENSES	3,150.	3,069.	81.	
b BAD DEBT	609.	563.		46.
c				
d				
e All other expenses				
25 **Total functional expenses.** Add lines 1 through 24e	5,731,391.	4,517,206.	645,948.	568,237.
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ [ ] if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X ☐

			(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing	509,320.	1	993,990.
	2	Savings and temporary cash investments	259,368.	2	74,439.
	3	Pledges and grants receivable, net	2,039,986.	3	3,525,588.
	4	Accounts receivable, net		4	
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L	143,802.	5	143,802.
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	134,304.	9	105,386.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D — 10a: 539,267.			
	b	Less: accumulated depreciation — 10b: 372,696.	161,146.	10c	166,571.
	11	Investments - publicly traded securities	18,070,855.	11	20,146,137.
	12	Investments - other securities. See Part IV, line 11	6,650,827.	12	5,722,568.
	13	Investments - program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11	168,529.	15	173,846.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	28,138,137.	16	31,052,327.
Liabilities	17	Accounts payable and accrued expenses	239,586.	17	213,186.
	18	Grants payable		18	
	19	Deferred revenue		19	29,767.
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	379,532.	25	442,056.
	26	**Total liabilities.** Add lines 17 through 25	619,118.	26	685,009.
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	16,863,488.	27	18,217,363.
	28	Temporarily restricted net assets	3,304,740.	28	4,062,770.
	29	Permanently restricted net assets	7,350,791.	29	8,087,185.
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	27,519,019.	33	30,367,318.
	34	Total liabilities and net assets/fund balances	28,138,137.	34	31,052,327.

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	5,520,620.
2	Total expenses (must equal Part IX, column (A), line 25)	2	5,731,391.
3	Revenue less expenses. Subtract line 2 from line 1	3	-210,771.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	27,519,019.
5	Net unrealized gains (losses) on investments	5	3,059,070.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	30,367,318.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII ☒

			Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047
2013
Open to Public Inspection

Name of the organization: AUBURN THEOLOGICAL SEMINARY
Employer identification number: 15-0532053

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)
3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document? Yes	(iv) No	(v) Did you notify the organization in col. (i) of your support? Yes	(v) No	(vi) Is the organization in col. (i) organized in the U.S.? Yes	(vi) No	(vii) Amount of monetary support
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	3,745,728.	4,738,649.	2,187,070.	3,593,495.	4,379,036.	18,643,978.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	3,745,728.	4,738,649.	2,187,070.	3,593,495.	4,379,036.	18,643,978.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						5,129,488.
6 **Public support.** Subtract line 5 from line 4.						13,514,490.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	3,745,728.	4,738,649.	2,187,070.	3,593,495.	4,379,036.	18,643,978.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	494,604.	579,842.	619,942.	431,201.	241,477.	2,367,066.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	51,449.	8,580.	162.	8,971.	93,083.	162,245.
11 **Total support.** Add lines 7 through 10						21,173,289.

12 Gross receipts from related activities, etc. (see instructions) ... 12 | 1,816,716.

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) ... 14 | 63.83 %

15 Public support percentage from 2012 Schedule A, Part II, line 14 ... 15 | 61.57 %

16a **33 1/3% support test - 2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3% support test - 2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10% -facts-and-circumstances test - 2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

b **10% -facts-and-circumstances test - 2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 **Total support.** (Add lines 9, 10c, 11, and 12.)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	%
16	Public support percentage from 2012 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f))	17	%
18	Investment income percentage from **2012** Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

OTHER INCOME

2009 AMOUNT: $ 51,449.

2010 AMOUNT: $ 8,580.

2011 AMOUNT: $ 162.

2012 AMOUNT: $ 8,971.

2013 AMOUNT: $ 93,083.

SCHEDULE C **(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.
▶ See separate instructions. ▶ Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047

2013

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	**Employer identification number**
AUBURN THEOLOGICAL SEMINARY	15-0532053

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
2 Political expenditures ▶ $
3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $
2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ **Yes** ☐ **No**
4a Was a correction made? ☐ **Yes** ☐ **No**
b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $
3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ $
4 Did the filing organization file **Form 1120-POL** for this year? ☐ **Yes** ☐ **No**
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds. If none, enter -0-.	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. **Schedule C (Form 990 or 990-EZ) 2013**
LHA

332041
11-08-13

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)	210.	
c Total lobbying expenditures (add lines 1a and 1b)	210.	
d Other exempt purpose expenditures	5,162,944.	
e Total exempt purpose expenditures (add lines 1c and 1d)	5,163,154.	
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	408,158.	

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000.
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000.
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000.
Over $17,000,000	$1,000,000.

	(a)	(b)
g Grassroots nontaxable amount (enter 25% of line 1f)	102,040.	
h Subtract line 1g from line 1a. If zero or less, enter -0-	0.	
i Subtract line 1f from line 1c. If zero or less, enter -0-	0.	

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2010	**(b)** 2011	**(c)** 2012	**(d)** 2013	**(e)** Total
2a Lobbying nontaxable amount		431,682.	453,699.	408,158.	1,293,539.
b Lobbying ceiling amount (150% of line 2a, column(e))					1,940,309.
c Total lobbying expenditures		11,980.	84,264.	210.	96,454.
d Grassroots nontaxable amount		107,921.	113,425.	102,040.	323,386.
e Grassroots ceiling amount (150% of line 2d, column (e))					485,079.
f Grassroots lobbying expenditures		8,643.	43,172.		51,815.

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a) Yes	(a) No	(b) Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

		Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1		
2 Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."**

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV **Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, line 2; and Part II-B, line 1. Also, complete this part for any additional information.

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
▶ Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990

OMB No. 1545-0047
2013
Open to Public Inspection

Name of the organization: AUBURN THEOLOGICAL SEMINARY
Employer identification number: 15-0532053

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ______

4 Number of states where property subject to conservation easement is located ▶ ______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ ______

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a [X] Public exhibition
b [ ] Scholarly research
c [ ] Preservation for future generations
d [ ] Loan or exchange programs
e [ ] Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? [ ] Yes [X] No

Part IV **Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? [ ] Yes [ ] No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? [ ] Yes [ ] No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII [ ]

Part V **Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	19,881,624.	19,354,583.	23,729,432.	19,561,924.	18,105,181.
b Contributions					
c Net investment earnings, gains, and losses	4,049,996.	2,934,344.	-1,324,780.	5,845,734.	3,098,142.
d Grants or scholarships					
e Other expenditures for facilities and programs	1,906,672.	2,407,303.	3,050,069.	1,678,226.	1,641,399.
f Administrative expenses					
g End of year balance	22,024,948.	19,881,624.	19,354,583.	23,729,432.	19,561,924.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ▶ 58.00 %

b Permanent endowment ▶ 33.00 %

c Temporarily restricted endowment ▶ 9.00 %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)	X	
(ii) related organizations	3a(ii)		X
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI **Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		124,444.	104,635.	19,809.
d Equipment				
e Other		414,823.	268,061.	146,762.
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				166,571.

Schedule D (Form 990) 2013

332052
09-25-13

14151028 756359 176215.0 2013.04030 AUBURN THEOLOGICAL SEMINARY 17621501

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) HEDGE FUNDS	5,722,568.	END-OF-YEAR MARKET VALUE
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶	5,722,568.	

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 15.)* ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DEFERRED COMPENSATION	442,056.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 25.)* ▶	442,056.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII [X]

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	8,707,334.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains on investments	2a	3,059,070.		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII.)	2d	127,644.		
e	Add lines **2a** through **2d**			2e	3,186,714.
3	Subtract line **2e** from line **1**			3	5,520,620.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	0.
5	Total revenue. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12.)*			5	5,520,620.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	5,859,035.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII.)	2d	127,644.		
e	Add lines **2a** through **2d**			2e	127,644.
3	Subtract line **2e** from line **1**			3	5,731,391.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	0.
5	Total expenses. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18.)*			5	5,731,391.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART III, LINE 4:

EXPLANATION: AUBURN HAS A LIMITED COLLECTION OF FINE ART: FOUR (4) PAINTINGS OF SACRED SITES FROM AROUND THE WORLD ARE ON PERMANENT DISPLAY IN OUR OFFICES. THE COLLECTION IS OF SACRED SITES FROM AROUND THE WORLD: A CHURCH, A SYNAGOGUE, A MOSQUE AND A BUDDHIST TEMPLE. SINCE A SIGNIFICANT PART OF AUBURN'S WORK IS TO PROMOTE MULTIFAITH UNDERSTANDING, DISPLAYING THESE ARTWORKS IN OUR OFFICES HELPS REMIND ATTENDEES TO AUBURN'S PROGRAMMING ABOUT THE IMPORTANCE OF BRIDGING RELIGIOUS DIVIDES.

PART V, LINE 4:

EXPLANATION: AUBURN THEOLOGICAL SEMINARY'S TRUE ENDOWMENT (ALSO KNOWN AS THE PERMANENTLY RESTRICTED NET ASSETS) SUPPORTS GENERAL EDUCATIONAL,

Part XIII **Supplemental Information** *(continued)*

RESEARCH, AND SCHOLARSHIP PURPOSES OF THE SEMINARY.

PART X, LINE 2:

EXPLANATION: AUBURN RECOGNIZES THE EFFECT OF INCOME TAX POSITIONS ONLY WHEN THEY ARE MORE LIKELY THAN NOT TO BE SUSTAINED. MANAGEMENT HAS DETERMINED THAT AUBURN HAD NO UNCERTAIN TAX POSITIONS THAT WOULD REQUIRE FINANCIAL STATEMENT RECOGNITION. AUBURN IS NO LONGER SUBJECT TO EXAMINATIONS BY THE APPLICABLE TAXING JURISDICTIONS FOR PERIODS PRIOR TO FISCAL 2011.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

DIRECT COST OF SPECIAL EVENTS REPORTED ON PART VIII, LINE 8B: 127,644.

PART XII, LINE 2D - OTHER ADJUSTMENTS:

DIRECT COST OF SPECIAL EVENTS REPORTED ON PART VIII, LINE 8B: 127,644.

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047
2013
Open to Public Inspection

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number: 15-0532053

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☐ No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
CENTRAL AMERICA AND THE CARIBBEAN			INVESTMENT HOLDINGS IN HEDGE FUNDS THAT ARE LEGALLY INCORPORATED IN THIS REGION.		5,722,568.
MIDDLE EAST AND NORTH AFRICA	0	1	PROGRAM SERVICES	YOUTH LEADERSHIP PROGRAM: FACE TO FACE/FAITH TO FAITH	54,000.
EUROPE (INCLUDING ICELAND & GREENLAND)	0	1	PROGRAM SERVICES	YOUTH LEADERSHIP PROGRAM: FACE TO FACE/FAITH TO FAITH	48,000.
SUB-SAHARAN AFRICA	0	1	PROGRAM SERVICES	YOUTH LEADERSHIP PROGRAM: FACE TO FACE/FAITH TO FAITH	54,000.
3 a Sub-total	0	3			5,878,568.
b Total from continuation sheets to Part I	0	0			0.
c Totals (add lines 3a and 3b)	0	3			5,878,568.

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990.** **Schedule F (Form 990) 2013**

332071
10-03-13

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶

3 Enter total number of other organizations or entities ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	**(b)** Region	**(c)** Number of recipients	**(d)** Amount of cash grant	**(e)** Manner of cash disbursement	**(f)** Amount of non-cash assistance	**(g)** Description of non-cash assistance	**(h)** Method of valuation (book, FMV, appraisal, other)

Part IV Foreign Forms

1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☐ Yes ☒ No

2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* ☐ Yes ☒ No

3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471)* ☒ Yes ☐ No

4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* ☐ Yes ☒ No

5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865)* ☐ Yes ☒ No

6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report. (see Instructions for Form 5713)* ☐ Yes ☒ No

Part V **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990

OMB No. 1545-0047
2013
Open To Public Inspection

Name of the organization: AUBURN THEOLOGICAL SEMINARY
Employer identification number: 15-0532053

Part I **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a [X] Mail solicitations
- b [X] Internet and email solicitations
- c [X] Phone solicitations
- d [X] In-person solicitations
- e [X] Solicitation of non-government grants
- f [ ] Solicitation of government grants
- g [X] Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? [X] Yes [ ] No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
PROJECT PLUS, INC. - 145 W 45TH STREET, SUITE 300, NEW	FUNDRAISING CONSULTING		X	431,531.	55,000.	90,100.
Total ▶				431,531.	55,000.	90,100.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

NY,IL,AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,ID,IN,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MO
MT,NE,NV,NH,NJ,NM,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,HI,NC

SEE PART IV FOR CONTINUATIONS

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
		LIVES OF COMMITMENT B		NONE	
		(event type)	(event type)	(total number)	
Revenue	1 Gross receipts	486,531.			486,531.
	2 Less: Contributions	457,887.			457,887.
	3 Gross income (line 1 minus line 2)	28,644.			28,644.
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs	62,373.			62,373.
	7 Food and beverages				
	8 Entertainment	25,487.			25,487.
	9 Other direct expenses	39,784.			39,784.
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				127,644.
	11 Net income summary. Subtract line 10 from line 3, column (d) ▶				-99,000.

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization operates gaming activities: ____________

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: ____________

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: ____________

11 Does the organization operate gaming activities with nonmembers? ☐ Yes ☐ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No

13 Indicate the percentage of gaming activity operated in:

a The organization's facility 13a %

b An outside facility 13b %

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ________ and the amount of gaming revenue retained by the third party ▶ $ ________ .

c If "Yes," enter name and address of the third party:

Name ▶

Address ▶

16 Gaming manager information:

Name ▶

Gaming manager compensation ▶ $

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

Part IV **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:

(I) NAME OF FUNDRAISER: PROJECT PLUS, INC.

(I) ADDRESS OF FUNDRAISER:

145 W 45TH STREET, SUITE 300, NEW YORK, NY 10036

PART I, LINE 2B, COLUMN (V):

EXPLANATION: PROJECTS PLUS, INC. SHALL RECEIVE A FIXED FEE OF $55,000.
THE SCOPE OF WORK IS COMPREHENSIVE. IF ADDITIONAL SERVICES ARE NEEDED,

Part IV Supplemental Information *(continued)*

THESE SERVICES WILL BE NEGOTIATED SEPARATELY.

PAYMENTS ARE SCHEDULED AS FOLLOWS: $10,000 UPON THE SIGNING OF THE CONTRACT; $5,625 ON THE FIRST OF EACH MONTH FOR THE EIGHT-MONTH PERIOD OCTOBER 1, 2013 THROUGH AND INCLUDING MAY 1, 2014 (SIGNING FEE + EIGHT PAYMENTS).

ADDITIONAL EXPENSES REIMBURSED TO PROJECTS PLUS, INC. FOR THE ADMINISTRATIVE SUPPORT OF THE EVENT, NOT EXPECTED TO EXCEED $1,000, INCLUDE COURIER SERVICES, POSTAGE, EXPRESS MAIL AND MISCELLANEOUS SUPPLIES. THESE EXPENSES ARE SUBJECT TO THE SEMINARY'S PRIOR APPROVAL AND WILL BE BILLED ON A MONTHLY BASIS. TRANSPORTATION EXPENSES, TO BE BILLED ON A MONTHLY BASIS, ARE NOT EXPECTED TO EXCEED $750 AND WILL BE USED FOR STAFF TO TRAVEL TO/FROM MEETINGS AND THE EVENT. ALL OTHER MAJOR EXPENSES (E.G. VENUE, MUSIC, AUDIO-VIDEO, DECOR AND GRAPHIC DESIGN) WILL BE APPROVED BY THE SEMINARY AND BILLED BY THE VENDOR DIRECTLY TO THE SEMINARY.

SCHEDULE I (Form 990)

Department of the Treasury Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number: 15-0532053

Part I — General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II — Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
JEWISH COUNCIL FOR PUBLIC AFFAIRS 116 EAST 27TH STREET, 19TH FLOOR NEW YORK, NY 10016	13-1624104	501(C)3	31,500.	0.			GENERAL ASSEMBLY, PREP REGRANT
NATIONAL CHRISTIAN LEADERSHIP CONFERENCE IN ISRAEL - 5519 HUMBOLDT CIRCLE - MINNEAPOLIS, MN 55419			8,000.	0.			GENERAL ASSEMBLY, PREP REGRANT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶ 1.

3 Enter total number of other organizations listed in the line 1 table ▶ 1.

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990.** **Schedule I (Form 990) (2013)**

332101 10-29-13

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
SCHOLARSHIPS	15	30,700.	0.		

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

PART I, LINE 2:

EXPLANATION: THE ORGANIZATION OFFERS SCHOLARSHIPS TO GRADUATING STUDENTS WHO ARE CONTINUING THEIR EDUCATION. THE GRANTS ARE AWARDED TO THE RECIPIENTS AND THEY ARE TO USE THE FUNDS WITHIN FIVE YEARS FOR THEIR EDUCATION.

SCHEDULE J (Form 990) Department of the Treasury Internal Revenue Service	**Compensation Information** For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23. ▶ Attach to Form 990. ▶ See separate instructions. ▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990	OMB No. 1545-0047 **2013** Open to Public Inspection

Name of the organization	Employer identification number
AUBURN THEOLOGICAL SEMINARY	15-0532053

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. ☐ First-class or charter travel ☒ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax indemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e.g., maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b	X	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?	2	X	
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. ☒ Compensation committee ☒ Written employment contract ☐ Independent compensation consultant ☒ Compensation survey or study ☒ Form 990 of other organizations ☒ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a	Receive a severance payment or change-of-control payment?	4a	X	
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	5a		X
b	Any related organization?	5b		X
	If "Yes" to line 5a or 5b, describe in Part III.			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	6a		X
b	Any related organization?	6b		X
	If "Yes" to line 6a or 6b, describe in Part III.			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		X
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) KATHARINE HENDERSON	(i)	144,688.	0.	40,000.	18,440.	16,266.	219,394.	0.
PRESIDENT	(ii)	0.	0.	0.	0.	0.	0.	0.
(2) JOHN VAUGHN	(i)	134,780.	0.	26,808.	16,130.	24,202.	201,920.	0.
EXECUTIVE VICE PRESIDENT	(ii)	0.	0.	0.	0.	0.	0.	0.
(3) SUSAN MILAMED	(i)	171,199.	0.	0.	7,150.	556.	178,905.	0.
VP, PHILANTHROPIC PARTNERSHIPS	(ii)	0.	0.	0.	0.	0.	0.	0.
(4) JOHN C. AUSTIN	(i)	75,774.	0.	45,000.	12,021.	20,558.	153,353.	0.
VP, CHRISTIAN LEADERSHIP	(ii)	0.	0.	0.	0.	0.	0.	0.
(5) WALLACE ALSTON	(i)	123,917.	0.	0.	12,335.	22,828.	159,080.	0.
VP, STRATEGY, MEDIA & ENGAGEMENT	(ii)	0.	0.	0.	0.	0.	0.	0.
(6) JUSTUS BAIRD	(i)	121,367.	0.	0.	12,080.	19,674.	153,121.	0.
DEAN	(ii)	0.	0.	0.	0.	0.	0.	0.
(7) ISAAC LURIA	(i)	118,677.	0.	0.	11,730.	24,254.	154,661.	0.
VP, AUBURN ACTION	(ii)	0.	0.	0.	0.	0.	0.	0.
(8) BARBARA WHEELER	(i)	9,796.	0.	207,620.	0.	10,153.	227,569.	0.
CSTE DIR. THRU JANUARY 2013	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1A:

EXPLANATION: EXPLANATION OF HOUSING ALLOWANCE:

A HOUSING ALLOWANCE IS ANY PAYMENT FOR, OR PROVISION OF, HOUSING BY THE ORGANIZATION FOR PERSONAL USE BY AN EMPLOYEE. TO QUALIFY FOR SPECIAL TAX TREATMENT, THE HOUSING ALLOWANCE GENERALLY MUST EITHER RELATE TO A QUALIFIED MINISTER OR IN RELATION TO LODGING FURNISHED BY AN EMPLOYER TO AN EMPLOYEE, A SPOUSE, OR DEPENDENTS FOR THE EMPLOYER'S CONVENIENCE, FURNISHED ON THE EMPLOYER'S BUSINESS PREMISES FOR THE CONVENIENCE OF THE EMPLOYER.

DURING THE CALENDAR YEAR 2013, THE REV. DR. KATHARINE HENDERSON WAS PAID A HOUSING ALLOWANCE OF $40,000. THE REV. JOHN CHARLES AUSTIN WAS PAID A HOUSING ALLOWANCE OF $45,000. THE REV. JOHN H. VAUGHN WAS PAID A HOUSING ALLOWANCE OF $26,808. THIS WAS NOT TAXABLE TO THE RECIPIENT.

PART I, LINE 4A:

EXPLANATION: BARBARA G. WHEELER, AUBURN'S FORMER PRESIDENT AND RESEARCH DIRECTOR WAS PAID $35,000 IN SEVERANCE AND $172,620 IN ADDITIONAL SEVERANCE REPRESENTING SALARY CONTINUATION OF HER BASE SALARY THAT WAS IN EFFECT ON

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

THE JANUARY 31, 2013 SEPARATION DATE THROUGH DECEMBER,2013 (THIS ADDITIONAL SEVERANCE WAS AGREED TO BE CONTINUED UNTIL JUNE 30, 2014 SO IT WILL ALSO SHOW UP NEXT YEAR ON OUR 990 AS WELL) WHICH REPRESENTED AUBURN'S OBLIGATIONS RELATED TO HER EARLY RESIGNATION DURING A 5-YEAR CONTINUING EMPLOYMENT CONTRACT THAT WAS GIVEN TO HER BY THE BOARD OF DIRECTORS AS OF JULY 1, 2009. ALL OBLIGATIONS FROM THAT AGREEMENT HAVE NOW BEEN SATISFIED.

SCHEDULE L (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Transactions With Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
▶ Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

Open To Public Inspection

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number 15-0532053

Part I **Excess Benefit Transactions** (section 501(c)(3) and section 501(c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1 (a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected? Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958 ▶ $

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ $

Part II **Loans to and/or From Interested Persons.**

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22.

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization? To	From	(e) Original principal amount	(f) Balance due	(g) In default? Yes	No	(h) Approved by board or committee? Yes	No	(i) Written agreement? Yes	No
BARBARA WHEELER	SEE PT V	SEE PT V		X	154,804.	143,802.		X	X		X	
Total ▶ $						143,802.						

Part III **Grants or Assistance Benefiting Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule L (Form 990 or 990-EZ) 2013

SEE PART V FOR CONTINUATIONS

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues? Yes	No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

SCHEDULE L, PART II, LOANS TO AND FROM INTERESTED PERSONS:

(A) NAME OF PERSON: BARBARA WHEELER

(B) RELATIONSHIP WITH ORGANIZATION: FORMER PRESIDENT OF ORGANIZATION

(C) PURPOSE OF LOAN: COVER TAXES FOR DEF. COMP. ACCELERATED AS A RESULT OF CHARTER AMENDMENTS

SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶ Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990

OMB No. 1545-0047
2013
Open to Public Inspection

Name of the organization	Employer identification number
AUBURN THEOLOGICAL SEMINARY	15-0532053

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded				
10 Securities - Closely held stock	X	6	229,354.	FAIR MARKET VALUE
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (USED OFFICE F)	X	83	23,063.	FAIR MARKET VALUE
26 Other ▶ ( )				
27 Other ▶ ( )				
28 Other ▶ ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement	29	0		
			Yes	**No**
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 - 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?		30a		X
b If "Yes," describe the arrangement in Part II.				
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?		31	X	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?		32a		X
b If "Yes," describe in Part II.				
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.				

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, PART I, COLUMN (B):

EXPLANATION: COLUMN B IS THE NUMBER OF CONTRIBUTORS.

SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number: 15-0532053

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE WORLD. AUBURN ENVISIONS RELIGION AS A CATALYST AND RESOURCE FOR A NEW WORLD-ONE IN WHICH DIFFERENCE IS CELEBRATED, ABUNDANCE IS SHARED, AND PEOPLE ARE HOPEFUL, WORKING FOR A FUTURE THAT IS BETTER THAN TODAY.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

AND OTHER SUPPORT SO LEADERS MAY BRIDGE RELIGIOUS DIVIDES, BUILD COMMUNITIES AND CONGREGATIONS, PURSUE JUSTICE AND HEAL THE WORLD. NOW AN INDEPENDENT, MULTIFAITH INSTITUTION FOR RELIGIOUS LEADERSHIP DEVELOPMENT, AUBURN WAS FOUNDED IN 1818 AS A PRESBYTERIAN SEMINARY. FROM ITS EARLIEST YEARS ITS LEADERS HAVE CHAMPIONED SOCIAL MOVEMENTS SUCH AS ANTI-FUNDAMENTALISM, ABOLITION, PRISON REFORM, WOMEN'S SUFFRAGE, AND CIVIL AND HUMAN RIGHTS.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

THE ENTREPRENEURIAL MINISTRY FELLOWSHIP EQUIPS PASTORS WITH THE THEOLOGICAL AND PRACTICAL CAPACITIES AND SUPPORT THEY NEED TO DEVELOP NEW FAITH COMMUNITIES.

AUBURN'S COACHING PROGRAMS ADVANCE THE CHRISTIAN LEADERSHIP FORMATION OF PASTORS, STRENGTHENING THEIR ORGANIZATIONAL LEADERSHIP, PERSONAL RESILIENCE AND VOCATIONAL DISCERNMENT.

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number: 15-0532053

THE CROSSCURRENTS RESEARCH COLLOQUIUM CONVENES RELIGION SCHOLARS, CLERGY, SOCIOLOGISTS AND ACTIVISTS FOR IN-DEPTH SCHOLARLY EXPLORATION AS IT RELATES TO CONTEMPORARY CRITICAL CONCERNS.

AUBURN TAKES SPECIAL RESPONSIBILITY FOR PRESBYTERIAN STUDENTS ENROLLED AT UNION THEOLOGICAL SEMINARY AND NEW YORK THEOLOGICAL SEMINARY, PROVIDING COURSES, WORKSHOPS AND COUNSELING TO HELP THEM MEET DENOMINATIONAL REQUIREMENTS FOR ORDINATION.

FACE TO FACE | FAITH TO FAITH PROGRAM EQUIPS TEENS AND POST COLLEGE AGE PROGRAM ALUMNI FROM THE MIDDLE EAST, NORTHERN IRELAND AND SOUTH AFRICA TO TAP THEIR RELIGIOUS HERITAGES TO BUILD MUTUAL UNDERSTANDING AND MORE PEACEFUL COMMUNITIES.

MAJOR PUBLIC PLATFORMS THAT BRIDGE DIVIDES AND BUILD COMMUNITY INCLUDE: THE JACK AND LEWIS RUDIN LECTURES - BRINGING THE THINKING OF MAJOR THOUGHT LEADERS IN RELIGION AND PUBLIC LIFE TO PRESENT TO THE GENERAL COMMUNITY.

THE WOMEN'S MIDDLE EAST INITIATIVE - BRINGING TOGETHER WOMEN LEADERS OF DIVERSE FAITHS TO STUDY AND MEET WITH JEWS, CHRISTIANS, AND MUSLIMS IN THE MIDDLE EAST AND ELSEWHERE TO BUILD UNDERSTANDING AND ADVANCE PEACE IN THE MIDDLE EAST.

THE NUMBER OF PEOPLE BENEFITED:

DIRECT PARTICIPANTS, STAFF, AND STUDENTS IN MULTIFAITH PROGRAMS: 780

DIRECT PARTICIPANTS, STAFF, AND STUDENTS IN WOMEN'S PROGRAMS: 89

DIRECT PARTICIPANTS, STAFF, AND STUDENTS IN COACHING PROGRAMS: 58

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number: 15-0532053

DIRECT PARTICIPANTS, STAFF, AND STUDENTS IN PRESBYTERIAN PROGRAMS: 28

RECIPIENTS OF CONSULTING SERVICES: 82

READERS AND LISTENERS TO AUBURN CONTENT: 10,000

ATTENDEES AT SPEAKING ENGAGEMENTS: 2,180

UNIQUE VISITORS TO AUBURN'S WEB SITE: 42,187

INDIVIDUALS RECEIVING AUBURN'S MONTHLY PROGRAMMATIC E-BLAST: 5,000

TOTAL NUMBER OF PEOPLE BENEFITED IN EDUCATION/THEOLOGY: 60,404

NOTE: THESE INDIVIDUALS REPRESENT ALMOST AS MANY ORGANIZATIONS. OUR WORK POSITIVELY BENEFITS THE MEMBERS/CONSTITUENTS OF THOSE ORGANIZATIONS AND THE THOUSANDS OF AMERICANS THEY REPRESENT.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

AND BROADCAST MEDIA. KEY ISSUES INCLUDE GUN VIOLENCE PREVENTION, MARRIAGE EQUALITY, ECONOMIC JUSTICE AND HUMAN DIGNITY.

FAITHSOURCE, AN OPEN FREE RESOURCE PROVIDING ACCESS TO INFLUENTIAL, EXPERT FAITH LEADERS AND VOICES, HELPS JOURNALISTS AND OTHER MEDIA MAKERS TO CONNECT WITH AND COVER THE RELIGIOUS LENS ON CONTEMPORARY, CRITICAL ISSUES.

THE TABLE TO ACTION PROJECT EQUIPS FAITH LEADERS TO IMPROVE THEIR ABILITY TO COMMUNICATE AND WORK TOGETHER MORE EFFECTIVELY TOWARD A SHARED VISION OF A BETTER WORLD.

AUBURN ALSO DEVELOPS AND/OR DISTRIBUTES CURRICULA, INCLUDING DOCUMENTARY FILMS AND VIDEOS ON SOCIAL ISSUES AND RELIGION, FOR FAITH LEADERS TO USE IN THEIR WORK TO EDUCATE AND GALVANIZE THEIR CONSTITUENCIES.

Name of the organization: AUBURN THEOLOGICAL SEMINARY
Employer identification number: 15-0532053

NUMBER OF PEOPLE BENEFITED:

TOTAL DIRECT PARTICIPANTS IN AUBURN MEDIA TRAININGS AND COACHING SESSIONS: 667

TOTAL NUMBER OF TRAININGS: 68.

NOTE: MEDIA STORIES SUPPORTED BY FAITHSOURCE REACHED MILLIONS OF READERS WORLDWIDE. INDIVIDUALS IN TRAINING AND COACHING SESSIONS REPRESENT HUNDREDS OF ORGANIZATIONS. OUR WORK POSITIVELY BENEFITS THE MEMBERS/CONSTITUENTS OF THOSE ORGANIZATIONS AND THE THOUSANDS OF AMERICANS THEY REPRESENT.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

XENOPHOBIA, WORKING FOR MARRIAGE EQUALITY, AND PROTECTING THE DIGNITY OF MARGINALIZED PEOPLE INCLUDING IMMIGRANTS.

FAITHSHARE PROMOTES FAITH-ROOTED ACTION THROUGH CURATING AND SHARING OUTSTANDING FAITH INSPIRED CONTENT ON THE INTERNET.

MOUNTAINTOP IS BOTH AN OFFLINE AND ONLINE PLATFORM FOR CONVENING FAITH LEADERS AND THEIR COLLABORATORS TO EXPLORE STRATEGIES FOR DEEPENING THE ROLE OF RELIGION IN BUILDING A MORE JUST SOCIETY AND TO PROMOTE COLLABORATION AND NETWORKS AMONG LEADERS OF DIVERSE FAITHS, ISSUES AND SECTORS.

NUMBER OF PEOPLE BENEFITED:

GROUNDSWELL CAMPAIGNS CREATED AND ACTIONS TAKEN: 57,799.

NUMBER OF INDIVIDUALS ON GROUNDSWELL ACTION EMAIL LIST: 88,906

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number: 15-0532053

NUMBER OF UNIQUE VISITORS TO GROUNDSWELL/FAITHSHARE WEBSITE: 176,856

NUMBER OF INDIVIDUALS RECEIVING/CIRCULATING FAITHSHARE CONTENT: 1,000,000+

TOTAL NUMBER OF PEOPLE WHO GATHERED AT MOUNTAINTOP, MARCH 2014: 50

TOTAL BENEFITING: 1,323,611

NOTE: THESE INDIVIDUALS REPRESENT THOUSANDS OF ORGANIZATIONS. OUR WORK POSITIVELY BENEFITS THE MEMBERS/CONSTITUENTS OF THOSE ORGANIZATIONS AND THE MANY THOUSANDS OF AMERICANS THEY REPRESENT.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

RESEARCH: THE CENTER FOR THE STUDY OF THEOLOGICAL EDUCATION (CSTE) SERVES ALL RELIGIOUS GROUPS AND IS THE ONLY RESEARCH INSTITUTE DEVOTED SOLELY TO THEOLOGICAL EDUCATION. THE APPLIED RESEARCH AND CONSULTING IT CONDUCTS BUILDS KNOWLEDGE BENEFITTING INSTITUTIONS THAT EDUCATE RELIGIOUS LEADERS AS WELL AS THEIR STAKEHOLDERS. CSTE IDENTIFIES AND EXPLORES KEY ISSUES SUCH AS STUDENT LIFE AND DEBT, EDUCATIONAL AND ADMINISTRATIVE LEADERSHIP, FACULTY AND CURRICULUM, FINANCES AND THE PUBLIC ROLE OF THEOLOGICAL SCHOOLS. CSTE CONSULTANTS HELP SCHOOLS EVALUATE PROGRAMS, DEVELOP STRATEGIES, FORGE NEW INSTITUTIONAL PARTNERSHIPS, ACHIEVE FINANCIAL STABILITY, AND SUPPORT THEIR SENIOR LEADERSHIP. NEW ACTION ORIENTED RESEARCH IS EXPLORING THE INTERCONNECTED ISSUES OF RELIGIOUS LEADERSHIP IN THE CONTEXT OF CHANGING RELIGIOUS LIFE IN AMERICA AND THE IMPLICATIONS FOR THEOLOGICAL EDUCATION.

CSTE REPORTS, PUBLICATIONS AND VIDEOS ON CRITICAL ISSUES AND PROBLEMS IN GRADUATE-LEVEL THEOLOGICAL EDUCATION ARE WIDELY DISTRIBUTED IN PRINT

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number: 15-0532053

AND/OR ON LINE TO EDUCATORS AND DECISION-MAKERS IN THEOLOGICAL EDUCATION, HIGHER EDUCATION, THE RESEARCH COMMUNITY AND THE PRESS. EXPENSES $ 878,346. INCLUDING GRANTS OF $ 0. REVENUE $ 60,950.

FORM 990, PART VI, SECTION B, LINE 11:

EXPLANATION: THE FORM 990 IS PREPARED IN DRAFT BY O'CONNOR DAVIES LLP BASED ON INFORMATION PROVIDED BY THE FINANCE OFFICE. THE DRAFT IS REVIEWED CAREFULLY BY THE CFO AND SOME CORRECTIONS ARE MADE. THIS SEMI-FINAL DRAFT IS PROVIDED IN BOTH PAPER AND ELECTRONIC COPY TO BOTH THE AUDIT AND FINANCE COMMITTEE OF THE BOARD FOR THEIR REVIEW AND RECOMMENDATION, ALONG WITH A SUMMARY NARRATIVE PROVIDED BY THE CFO. THE FINANCE COMMITTEE HAS AN OPPORTUNITY TO ASK QUESTIONS OR TO MAKE FURTHER CHANGES AT THIS JUNCTURE. ONCE THESE UPDATES HAVE BEEN IMPLEMENTED, THE FINAL DRAFT OF THE FORM 990 IS DISTRIBUTED TO THE FULL BOARD OF DIRECTORS, IN BOTH PAPER AND ELECTRONIC VERSIONS, FOR THEIR REVIEW WITH A COPY OF THE FINANCE COMMITTEE'S RECOMMENDATION TO APPROVE. THE FORM 990 IS THEN FILED AFTER THE DOCUMENT HAS BEEN REVIEWED BY THE FULL BOARD, AND AFTER ANY AND ALL QUESTIONS ARE ADDRESSED AND ANSWERED.

FORM 990, PART VI, SECTION B, LINE 12C:

EXPLANATION: THE CONFLICT OF INTEREST POLICY IS ANNUALLY GIVEN TO ALL BOARD AND SENIOR STAFF MEMBERS. THE EXECUTIVE OFFICE KEEPS COPIES OF ALL THE FORMS SUBMITTED. IF THERE ARE REPORTS OF POTENTIAL CONFLICTS OF INTEREST, THESE ARE BROUGHT TO THE ATTENTION OF THE EVP AND CFO, WHO BRINGS THEM TO THE APPROPRIATE COMMITTEE OF THE BOARD FOR FURTHER REVIEW. ANY INDIVIDUAL WHO HAS A REPORTED POTENTIAL CONFLICT IS RECUSED FROM THE ROOM WHEN THIS TOPIC IS DISCUSSED. AFTER REVIEW THE APPROPRIATE COMMITTEE BRINGS ITS RECOMMENDATION TO THE FULL BOARD FOR A VOTE ON HOW TO BEST HANDLE THE

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number: 15-0532053

MATTER.

IN THE SPRING OF 2014, AUBURN MODIFIED ITS PREVIOUS CONFLICT OF INTEREST POLICY TO CONFORM WITH THE REQUIREMENTS OF THE NEW NON-PROFIT REVITALIZATION ACT. THE CFO AND EVP JOINTLY GAVE AN EDUCATIONAL PRESENTATION TO THE FULL BOARD OF DIRECTORS ABOUT THESE NEW POLICIES AT THE MAY BOARD MEETING.

FORM 990, PART VI, SECTION B, LINE 15:

EXPLANATION: THE PRESIDENT'S COMPENSATION IS DETERMINED BY A BOARD COMPENSATION COMMITTEE THAT WORKS WITH AUBURN'S HISTORIC APPROACH TO COMPENSATION AS WELL AS CURRENT COMPARABLES WITH OTHER ORGANIZATIONS THROUGH RESEARCH AND SURVEYS. THE EXECUTIVE COMMITTEE OF THE BOARD CONDUCTS AN ANNUAL REVIEW OF OTHER OFFICERS AND KEY EMPLOYEES INCLUDING A CONSIDERATION OF CURRENT COMPARABLES AND STUDY OF PUBLISHED COMPENSATION. BOARD MEMBERS ARE FREQUENTLY INVOLVED IN THE HIRING PROCESS FOR SENIOR STAFF MEMBERS. THE FULL BOARD'S APPROVAL OF THESE DECISIONS IS DOCUMENTED IN THE MOTION TO APPROVE THE ANNUAL BUDGET. THE PRESIDENT'S SALARY WAS LAST UPDATED IN 2014; THE EXECUTIVE VP IN 2014 AND THE CFO IN 2014.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:

NY,IL,AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,ID,IN,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MO
MT,NE,NV,NH,NJ,NM,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,HI,NC

FORM 990, PART VI, SECTION C, LINE 19:

EXPLANATION: AUBURN THEOLOGICAL SEMINARY MAKES ITS FORM 990, FORM 1023 AND THE AUDITED FINANCIAL STATEMENTS AVAILABLE FOR PUBLIC INSPECTION AS REQUIRED UNDER SECTION 6104 OF THE INTERNAL REVENUE CODE BY POSTING IT ON

Name of the organization	Employer identification number
AUBURN THEOLOGICAL SEMINARY	15-0532053

ITS OWN WEBSITE, AUBURNSEMINARY.ORG, AS WELL AS ON GUIDESTAR.ORG AND OTHER SIMILAR TYPES OF WEBSITES. IN ADDITION FORMS 990, FORM 1023 AS WELL AS THE FINANCIAL STATEMENTS AND CONFLICT OF INTEREST POLICY ARE AVAILABLE UPON WRITTEN REQUEST AT 457 RIVERSIDE DRIVE, NEW YORK, NY 10115 OR BY CALLING THE ORGANIZATION DIRECTLY AT (212) 662-4315.

FORM 990, PART IX, LINE 11G, OTHER FEES:

EDUCATIONAL CONSULTANTS:	
PROGRAM SERVICE EXPENSES	94,217.
MANAGEMENT AND GENERAL EXPENSES	810.
FUNDRAISING EXPENSES	2,704.
TOTAL EXPENSES	97,731.
RESEARCH CONSULTANTS:	
PROGRAM SERVICE EXPENSES	6,291.
MANAGEMENT AND GENERAL EXPENSES	0.
FUNDRAISING EXPENSES	0.
TOTAL EXPENSES	6,291.
INTERNS & TEMPORARY HELP:	
PROGRAM SERVICE EXPENSES	59,185.
MANAGEMENT AND GENERAL EXPENSES	4,588.
FUNDRAISING EXPENSES	2,905.
TOTAL EXPENSES	66,678.
COMMUNICATIONS PROFESSIONALS:	
PROGRAM SERVICE EXPENSES	266,193.
MANAGEMENT AND GENERAL EXPENSES	5,544.

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number: 15-0532053

FUNDRAISING EXPENSES	2,762.
TOTAL EXPENSES	274,499.
COACHES:	
PROGRAM SERVICE EXPENSES	101,050.
MANAGEMENT AND GENERAL EXPENSES	1,031.
FUNDRAISING EXPENSES	3,094.
TOTAL EXPENSES	105,175.
ARCHITECTS:	
PROGRAM SERVICE EXPENSES	37,330.
MANAGEMENT AND GENERAL EXPENSES	2,489.
FUNDRAISING EXPENSES	7,468.
TOTAL EXPENSES	47,287.
WRITERS AND EDITORS:	
PROGRAM SERVICE EXPENSES	2,861.
MANAGEMENT AND GENERAL EXPENSES	14.
FUNDRAISING EXPENSES	0.
TOTAL EXPENSES	2,875.
STRATEGIC PLANNING CONSULTANTS:	
PROGRAM SERVICE EXPENSES	5,909.
MANAGEMENT AND GENERAL EXPENSES	345.
FUNDRAISING EXPENSES	998.
TOTAL EXPENSES	7,252.
OTHER FUNDRAISING ASSISTANCE:	

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number: 15-0532053

PROGRAM SERVICE EXPENSES	53,511.
MANAGEMENT AND GENERAL EXPENSES	0.
FUNDRAISING EXPENSES	65,402.
TOTAL EXPENSES	118,913.
VIDEOGRAPHERS:	
PROGRAM SERVICE EXPENSES	41,114.
MANAGEMENT AND GENERAL EXPENSES	562.
FUNDRAISING EXPENSES	154.
TOTAL EXPENSES	41,830.
MEDIA CONSULTANTS:	
PROGRAM SERVICE EXPENSES	89,529.
MANAGEMENT AND GENERAL EXPENSES	67.
FUNDRAISING EXPENSES	0.
TOTAL EXPENSES	89,596.
GRAPHIC DESIGNERS:	
PROGRAM SERVICE EXPENSES	36,544.
MANAGEMENT AND GENERAL EXPENSES	269.
FUNDRAISING EXPENSES	720.
TOTAL EXPENSES	37,533.
IT CONSULTANT:	
PROGRAM SERVICE EXPENSES	25,441.
MANAGEMENT AND GENERAL EXPENSES	0.
FUNDRAISING EXPENSES	75.
TOTAL EXPENSES	25,516.

Name of the organization: AUBURN THEOLOGICAL SEMINARY

Employer identification number: 15-0532053

TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A 921,176.

FORM 990, PART XII, LINE 2C:

EXPLANATION: AUBURN HAS A COMMITTEE THAT ASSUMES RESPONSIBILITY FOR OVERSIGHT OF THE AUDIT OF ITS FINANCIAL STATEMENTS AND SELECTION OF AN INDEPENDENT AUDITOR. THIS PROCESS DID NOT CHANGE FROM THE PRIOR YEAR.