

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

2012

Open to Public Inspection

Department of the Treasury Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2012 calendar year, or tax year beginning JUL 1, 2012 and ending JUN 30, 2013

Header section containing organization name (AUBURN THEOLOGICAL SEMINARY), address (3041 BROADWAY, NEW YORK, NY 10027), principal officer (KATHARINE R. HENDERSON), and identification numbers.

Part I Summary

Table with 2 columns: Description and Amount. Rows include mission statement (AUBURN EQUIPS BOLD, RESILIENT LEADERS WHO CAN BRIDGE RELIGIOUS DIVIDES...), number of members, and revenue/expenses.

Table with 3 columns: Description, Prior Year, and Current Year. Rows include revenue (Total revenue: 4,565,105), expenses (Total expenses: 6,453,112), and net assets (Total assets: 28,138,137).

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Signature block containing signature of EILEEN MACHOLL, CHIEF FINANCIAL OFFICER, and preparer information for GARRETT M. HIGGINS.

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [] No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III [X]

1 Briefly describe the organization's mission: AUBURN SEMINARY EQUIPS BOLD, RESILIENT LEADERS - RELIGIOUS AND SECULAR, WOMEN AND MEN, ADULTS AND TEENS - WITH THE TOOLS AND RESOURCES THEY NEED TO CREATE CHANGE IN OUR MULTIFAITH WORLD. WE PROVIDE THEM WITH EDUCATION, RESEARCH, MEDIA SAVVY AND OTHER SUPPORT.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [] Yes [X] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [X] Yes [] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 1,959,595. including grants of \$ 8,296.) (Revenue \$ 205,165.) EDUCATION/THEOLOGY: AUBURN EQUIPS AND EDUCATES INDIVIDUALS AS WELL AS COHORTS OF RELIGIOUS AND COMMUNITY LEADERS TO REACH ACROSS LINES OF RELIGIOUS DIFFERENCE IN ORDER TO: (1) ADDRESS TODAY'S MOST PRESSING ISSUES, (2) DEVELOP TRAITS SUCH AS RESILIENCY THEY NEED FOR OUR MULTIFAITH, FAST-CHANGING WORLD, AND (3) USE THE WISDOM OF THEIR FAITH TRADITIONS IN ACHIEVING THESE GOALS.

FACE TO FACE: FAITH TO FAITH EQUIPS TEENS FROM THE MIDDLE EAST, NORTHERN IRELAND AND SOUTH AFRICA TO USE THEIR RELIGIOUS TRADITIONS TO BUILD MUTUAL UNDERSTANDING AND MORE PEACEFUL COMMUNITIES. THE SOJOURNER TRUTH LEADERSHIP CIRCLE HELPS AFRICAN-AMERICAN RELIGIOUS LEADERS TO CARE FOR THEIR MIND, BODY AND SPIRIT.

4b (Code:) (Expenses \$ 1,154,970. including grants of \$ 673.) (Revenue \$ 30,591.) MEDIA: AUBURN MEDIA PROVIDES MEDIA EXPERTISE TO SEASONED AND EMERGING RELIGIOUS LEADERS AND RELIGIOUS EXPERTISE TO THE MEDIA. AUBURN MEDIA EQUIPS RELIGIOUS LEADERS TO COMMUNICATE EFFECTIVELY WITH AND THROUGH THE MEDIA ON ISSUES OF PRESSING SOCIAL CONCERN AND TO INFORM AND INSPIRE CONSTITUENCIES TO TAKE ACTION. IT ALSO HELPS JOURNALISTS AND OTHER MEDIA MAKERS TO CONNECT WITH AND COVER THE RELIGIOUS VOICES AND STORIES WE NEED TO HEAR THE MOST.

AUBURN MEDIA ONE-ON-ONE AND GROUP DAY-LONG TRAININGS EQUIP FAITH-ROOTED LEADERS, CLERGY AND SEMINARY STUDENTS, AS WELL AS THEIR COMMUNITY PARTNERS, WITH THE SKILLS, CAPACITIES, AND MESSAGING STRATEGIES THEY NEED TO INSPIRE AND EDUCATE THROUGH PRINT AND BROADCAST MEDIA.

4c (Code:) (Expenses \$ 1,095,970. including grants of \$ 673.) (Revenue \$ 40,805.) ACTION: AUBURN ACTION EQUIPS FAITH-ROOTED LEADERS TO PURSUE JUSTICE AND HEAL THE WORLD BY HELPING THEM TO DEVELOP STRATEGIES AND SKILLS FOR USING SOCIAL MEDIA TO INSPIRE CONGREGANTS AND CONSTITUENCIES, BUILD COMMUNITY, AND MOVE PEOPLE TO TAKE ACTION.

GROUNDSWELL IS AUBURN'S ONLINE COMMUNITY FOR SOCIAL CHANGE AND MORAL COURAGE, NOW COUNTING 85,000+ PARTICIPANTS. GROUNDSWELL ALSO SERVES AS A DIGITAL PLATFORM AND RESOURCE FAITH-ROOTED LEADERS AND ACTIVISTS USE TO INFORM AND INSPIRE PARTICIPANTS AND OTHERS TO MOVE ON PRESSING SOCIAL CONCERNS. AUBURN ACTION COACHES AND ASSISTS FAITH AND COMMUNITY LEADERS TO AMPLIFY THEIR CALLS FOR JUSTICE THROUGH GROUNDSWELL. IT HAS HIGHLIGHTED ISSUES SUCH AS PREVENTING GUN VIOLENCE.

4d Other program services (Describe in Schedule O.) (Expenses \$ 859,669. including grants of \$ 2,914.) (Revenue \$ 10,272.)

4e Total program service expenses 5,070,204.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	X	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	X	
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	X	
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	X	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	X	
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

Input box for Schedule O response

Main table with columns for question number, description, and Yes/No responses. Includes rows for Form 1096, Form W-2G, Form W-3, and various tax compliance questions.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a (21), 1b (21), 2 (X), 3 (X), 4 (X), 5 (X), 6 (X), 7a (X), 7b (X), 8a (X), 8b (X), 9 (X).

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a (X), 10b, 11a (X), 11b, 12a (X), 12b (X), 12c (X), 13 (X), 14 (X), 15a (X), 15b (X), 16a (X), 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed SEE SCHEDULE O
18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. [X] Own website [X] Another's website [X] Upon request [] Other (explain in Schedule O)
19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: EILEEN MACHOLL - (212) 662-4315 3041 BROADWAY, NEW YORK, NY 10027

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) MARK HOSTETTER BOARD CHAIR	3.00	X		X				0.	0.	0.
(2) STEWART CLIFFORD BOARD MEMBER THRU MAY 2013	3.00	X						0.	0.	0.
(3) KANYERE EATON BOARD MEMBER	3.00	X						0.	0.	0.
(4) CARLSON GERDAU BOARD MEMBER	3.00	X						0.	0.	0.
(5) JOHN GOLIEB TREASURER	3.00	X		X				0.	0.	0.
(6) JAMES HENDERSON BOARD MEMBER	3.00	X						0.	0.	0.
(7) NANCY S. JENNINGS BOARD MEMBER	3.00	X						0.	0.	0.
(8) ANGELA BUCHDAHL BOARD MEMBER	3.00	X						0.	0.	0.
(9) STEPHEN KEYSER BOARD MEMBER	3.00	X						0.	0.	0.
(10) WILLIAM LONGBRAKE SECRETARY	3.00	X		X				0.	0.	0.
(11) RICHARD STOWE BOARD MEMBER	3.00	X						0.	0.	0.
(12) ESTELLE TANNER BOARD VICE CHAIR	3.00	X		X				0.	0.	0.
(13) ANNE WAASDORP BOARD MEMBER	3.00	X						0.	0.	0.
(14) THOMAS YORTY BOARD MEMBER	3.00	X						0.	0.	0.
(15) MELINDA WOLFE BOARD MEMBER	3.00	X						0.	0.	0.
(16) OTIS MOSS III BOARD MEMBER	3.00	X						0.	0.	0.
(17) BARBARA MOSS BOARD MEMBER THRU MAY 2013	3.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) GEORGE GOTSCIK BOARD MEMBER	3.00	X					0.	0.	0.	
(19) GAIL FURMAN BOARD MEMBER	3.00	X					0.	0.	0.	
(20) LAURA PHILLIPS BOARD MEMBER	3.00	X					0.	0.	0.	
(21) CAROLYN BUCK-LUCE BOARD MEMBER	3.00	X					0.	0.	0.	
(22) PHILIP GOW BOARD MEMBER	3.00	X					0.	0.	0.	
(23) S.A. IBRAHIM BOARD MEMBER	3.00	X					0.	0.	0.	
(24) KATHARINE HENDERSON PRESIDENT	40.00			X			180,203.	0.	36,668.	
(25) EILEEN MACHOLL CFO	40.00			X			116,164.	0.	20,550.	
(26) JOHN VAUGHN EXECUTIVE VICE PRESIDENT	40.00			X			148,688.	0.	41,083.	
1b Sub-total							445,055.	0.	98,301.	
c Total from continuation sheets to Part VII, Section A							764,903.	0.	186,324.	
d Total (add lines 1b and 1c)							1,209,958.	0.	284,625.	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 10

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
SARE GROUP, LLC, 275 WEST 96TH STREET #25D, NEW YORK, NY 10025	STRATEGY PLANNING CONSULTANT	126,000.
RAISING CHANGE, LLC, 136 WEST STREET, SUITE 4, NORTHAMPTON, MA 01060	FUNDRAISING CONSULTANT	108,905.
PRODUCTION COLLECTIVE, LLC, 138 WEST 25TH STREET, 10TH FLR, NEW YORK, NY 10001	FUNDRAISING CONSULTANT	107,388.
INTERRELIGIOUS COORDINATING COUNCIL IN ISRAEL POB 8771, JERUSALEM, ISRAEL 91086	PROGRAM COORDINATING	105,804.
SUTHERLAND ASBILL & BRENNAN, LLP 1114 AVENUE OF AMERICAS, NEW YORK, NY 10036	LEGAL SERVICES	101,585.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 5

SEE PART VII, SECTION A CONTINUATION SHEETS

Part VIII Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII

		(A)	(B)	(C)	(D)	
		Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c 378,811.				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 3,214,684.				
	g Noncash contributions included in lines 1a-1f: \$	62,026.				
	h Total. Add lines 1a-1f	▶ 3,593,495.				
	Program Service Revenue	2 a TUITION & FEES	Business Code 611600	286,833.	286,833.	
b						
c						
d						
e						
f All other program service revenue						
g Total. Add lines 2a-2f		▶ 286,833.				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)	▶▶▶ 306,578.			306,578.	
	4 Income from investment of tax-exempt bond proceeds	▶▶▶				
	5 Royalties	▶▶▶				
	6 a Gross rents	(i) Real	124,623.			
		(ii) Personal				
		b Less: rental expenses	0.			
		c Rental income or (loss)	124,623.			
	d Net rental income or (loss)	▶▶▶ 124,623.			124,623.	
	7 a Gross amount from sales of assets other than inventory	(i) Securities	5,697,538.			
		(ii) Other				
		b Less: cost or other basis and sales expenses	5,422,370.			
		c Gain or (loss)	275,168.			
	d Net gain or (loss)	▶▶▶ 275,168.			275,168.	
	8 a Gross income from fundraising events (not including \$ 378,811. of contributions reported on line 1c). See Part IV, line 18	a	86,555.			
		b Less: direct expenses	117,118.			
c Net income or (loss) from fundraising events		▶▶▶ -30,563.			-30,563.	
9 a Gross income from gaming activities. See Part IV, line 19	a					
	b Less: direct expenses					
	c Net income or (loss) from gaming activities	▶▶▶				
10 a Gross sales of inventory, less returns and allowances	a					
	b Less: cost of goods sold					
	c Net income or (loss) from sales of inventory	▶▶▶				
Miscellaneous Revenue		Business Code				
11 a MISCELLANEOUS REVENUE		900099	8,971.		8,971.	
	b					
	c					
	d All other revenue					
	e Total. Add lines 11a-11d	▶▶▶ 8,971.				
12 Total revenue. See instructions.	▶▶▶ 4,565,105.	286,833.	0.	684,777.		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	6,951.	6,951.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	5,605.	5,605.		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	700,853.	481,290.	94,760.	124,803.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	2,246,309.	1,909,358.	251,783.	85,168.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	137,691.	126,436.	11,255.	
9 Other employee benefits	344,307.	276,424.	52,699.	15,184.
10 Payroll taxes	159,724.	132,338.	16,226.	11,160.
11 Fees for services (non-employees):				
a Management				
b Legal	236,260.	65,921.	170,339.	
c Accounting	42,763.	21,645.	21,118.	
d Lobbying	40,500.	40,500.		
e Professional fundraising services. See Part IV, line 17	55,000.			55,000.
f Investment management fees	67,869.		67,869.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	1,035,999.	931,109.	40,211.	64,679.
12 Advertising and promotion	43,795.	40,666.	723.	2,406.
13 Office expenses	132,244.	95,731.	31,794.	4,719.
14 Information technology	160,006.	103,038.	56,708.	260.
15 Royalties				
16 Occupancy	260,017.	159,033.	100,984.	
17 Travel	386,968.	351,622.	23,676.	11,670.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	216,118.	210,424.	1,616.	4,078.
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	93,149.	55,889.	37,260.	
23 Insurance	58,992.	36,584.	22,408.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a BAD DEBT	14,312.	14,312.		
b MISCELLANEOUS	7,680.	5,328.	2,352.	
c				
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	6,453,112.	5,070,204.	1,003,781.	379,127.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

Check if Schedule O contains a response to any question in this Part X

		(A) Beginning of year		(B) End of year	
Assets	1 Cash - non-interest-bearing	152,415.	1	509,320.	
	2 Savings and temporary cash investments	734,299.	2	259,368.	
	3 Pledges and grants receivable, net	1,597,987.	3	2,039,986.	
	4 Accounts receivable, net		4		
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L	154,804.	5	143,802.	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6		
	7 Notes and loans receivable, net		7		
	8 Inventories for sale or use		8		
	9 Prepaid expenses and deferred charges	169,781.	9	134,304.	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 874,664.			
	b Less: accumulated depreciation	10b 713,518.	188,291.	10c 161,146.	
	11 Investments - publicly traded securities	10,988,017.	11	11,204,924.	
	12 Investments - other securities. See Part IV, line 11	14,021,926.	12	13,516,758.	
	13 Investments - program-related. See Part IV, line 11		13		
	14 Intangible assets		14		
	15 Other assets. See Part IV, line 11	165,457.	15	168,529.	
16 Total assets. Add lines 1 through 15 (must equal line 34)	28,172,977.	16	28,138,137.		
Liabilities	17 Accounts payable and accrued expenses	459,463.	17	239,586.	
	18 Grants payable		18		
	19 Deferred revenue		19		
	20 Tax-exempt bond liabilities		20		
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21		
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22		
	23 Secured mortgages and notes payable to unrelated third parties		23		
	24 Unsecured notes and loans payable to unrelated third parties		24		
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	340,115.	25	379,532.	
	26 Total liabilities. Add lines 17 through 25	799,578.	26	619,118.	
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27 Unrestricted net assets	16,642,899.	27	16,863,488.	
	28 Temporarily restricted net assets	3,385,624.	28	3,304,740.	
	29 Permanently restricted net assets	7,344,876.	29	7,350,791.	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30 Capital stock or trust principal, or current funds		30		
	31 Paid-in or capital surplus, or land, building, or equipment fund		31		
	32 Retained earnings, endowment, accumulated income, or other funds		32		
	33 Total net assets or fund balances	27,373,399.	33	27,519,019.	
34 Total liabilities and net assets/fund balances	28,172,977.	34	28,138,137.		

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	4,565,105.
2	Total expenses (must equal Part IX, column (A), line 25)	2	6,453,112.
3	Revenue less expenses. Subtract line 2 from line 1	3	-1,888,007.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	27,373,399.
5	Net unrealized gains (losses) on investments	5	2,322,354.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-288,727.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	27,519,019.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
2c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form 990 (2012)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2012

Open to Public Inspection

Name of the organization AUBURN THEOLOGICAL SEMINARY	Employer identification number 15-0532053
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Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

- The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)
- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
 - 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
 - 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
 - 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
 - 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
 - 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
 - 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
 - 8 A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
 - 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
 - 10 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
 - 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a Type I b Type II c Type III - Functionally integrated d Type III - Non-functionally integrated
 - e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
 - f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
 - g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?		
(ii) A family member of a person described in (i) above?		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?		
 - h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	1,476,204.	3,745,728.	4,738,649.	2,187,070.	3,593,495.	15,741,146.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	1,476,204.	3,745,728.	4,738,649.	2,187,070.	3,593,495.	15,741,146.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						3,959,594.
6 Public support. Subtract line 5 from line 4.						11,781,552.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4	1,476,204.	3,745,728.	4,738,649.	2,187,070.	3,593,495.	15,741,146.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	1,176,892.	494,604.	579,842.	619,942.	431,201.	3,302,481.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	22,583.	51,449.	8,580.	162.	8,971.	91,745.
11 Total support. Add lines 7 through 10						19,135,372.
12 Gross receipts from related activities, etc. (see instructions)					12	2,607,819.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))	14	61.57 %
15 Public support percentage from 2011 Schedule A, Part II, line 14	15	58.87 %
16a 33 1/3% support test - 2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input checked="" type="checkbox"/>
b 33 1/3% support test - 2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
17a 10% -facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
b 10% -facts-and-circumstances test - 2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		<input type="checkbox"/>

Schedule A (Form 990 or 990-EZ) 2012

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

OTHER INCOME

2008 AMOUNT: \$ 22,583.

2009 AMOUNT: \$ 51,449.

2010 AMOUNT: \$ 8,580.

2011 AMOUNT: \$ 162.

2012 AMOUNT: \$ 8,971.

DRAFT

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No. 1545-0047

For Organizations Exempt From Income Tax Under section 501(c) and section 527

2012

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**

Open to Public Inspection

▶ **See separate instructions.**

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization AUBURN THEOLOGICAL SEMINARY	Employer identification number 15-0532053
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Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ▶ \$ _____
- 3 Volunteer hours _____

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
- 4a Was a correction made? Yes No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file **Form 1120-POL** for this year? Yes No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule C (Form 990 or 990-EZ) 2012

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1 a	Total lobbying expenditures to influence public opinion (grass roots lobbying)	43,172.													
b	Total lobbying expenditures to influence a legislative body (direct lobbying)	41,092.													
c	Total lobbying expenditures (add lines 1a and 1b)	84,264.													
d	Other exempt purpose expenditures	5,989,721.													
e	Total exempt purpose expenditures (add lines 1c and 1d)	6,073,985.													
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns.	453,699.													
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
g	Grassroots nontaxable amount (enter 25% of line 1f)	113,425.													
h	Subtract line 1g from line 1a. If zero or less, enter -0-	0.													
i	Subtract line 1f from line 1c. If zero or less, enter -0-	0.													
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) Total
2a Lobbying nontaxable amount			431,682.	453,699.	885,381.
b Lobbying ceiling amount (150% of line 2a, column(e))					1,328,072.
c Total lobbying expenditures			11,980.	84,264.	96,244.
d Grassroots nontaxable amount			107,921.	113,425.	221,346.
e Grassroots ceiling amount (150% of line 2d, column (e))					332,019.
f Grassroots lobbying expenditures			8,643.	43,172.	51,815.

Schedule C (Form 990 or 990-EZ) 2012

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? ..			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, line 2; and Part II-B, line 1. Also, complete this part for any additional information.

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

AUBURN THEOLOGICAL SEMINARY

Employer identification number

15-0532053

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

Yes No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1	▶ \$ _____
(ii) Assets included in Form 990, Part X	▶ \$ <u>60,000.</u>

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1	▶ \$ _____
b Assets included in Form 990, Part X	▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a Public exhibition
 - b Scholarly research
 - c Preservation for future generations
 - d Loan or exchange programs
 - e Other _____
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|---------------------------------|--------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a Did the organization include an amount on Form 990, Part X, line 21? Yes No
- b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	19,354,583.	23,729,432.	19,561,924.	18,105,181.	18,170,794.
b Contributions					-65,613.
c Net investment earnings, gains, and losses	2,934,344.	-1,324,780.	5,845,734.	3,098,142.	
d Grants or scholarships					
e Other expenditures for facilities and programs	2,407,303.	3,050,069.	1,678,226.	1,641,399.	
f Administrative expenses					
g End of year balance	19,881,624.	19,354,583.	23,729,432.	19,561,924.	18,105,181.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment 58.00 %
- b Permanent endowment 36.43 %
- c Temporarily restricted endowment 5.57 %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
- (ii) related organizations

	Yes	No
3a(i)	<input checked="" type="checkbox"/>	
3a(ii)		<input checked="" type="checkbox"/>
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		441,346.	355,785.	85,561.
d Equipment		137,998.	121,534.	16,464.
e Other		295,320.	236,199.	59,121.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				161,146.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) MUTUAL FUNDS - STOCK	6,865,931.	END-OF-YEAR MARKET VALUE
(B) HEDGE FUNDS	6,650,827.	END-OF-YEAR MARKET VALUE
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶	13,516,758.	

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DEFERRED COMPENSATION	379,532.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	379,532.

2. FIN 48 (ASC 740) Footnote. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	6,715,850.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	2,322,354.
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	117,118.
e	Add lines 2a through 2d	2e	2,439,472.
3	Subtract line 2e from line 1	3	4,276,378.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	288,727.
c	Add lines 4a and 4b	4c	288,727.
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)	5	4,565,105.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	6,570,230.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	117,118.
e	Add lines 2a through 2d	2e	117,118.
3	Subtract line 2e from line 1	3	6,453,112.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)	5	6,453,112.

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART III, LINE 4: AUBURN HAS A LIMITED COLLECTION OF FINE ART: FOUR (4)

PAINTINGS OF SACRED SITES FROM AROUND THE WORLD ARE ON PERMANENT DISPLAY IN OUR CLASSROOM/BOARD ROOM/CONFERENCE ROOM. THE COLLECTION IS OF SACRED SITES FROM AROUND THE WORLD: A CHURCH, A SYNAGOGUE, A MOSQUE AND A BUDDHIST TEMPLE. SINCE A SIGNIFICANT PART OF AUBURN'S WORK IS TO PROMOTE MULTIFAITH UNDERSTANDING, DISPLAYING THESE ARTWORKS IN OUR CLASSROOM/BOARD ROOM/CONFERENCE ROOM HELPS REMIND ATTENDEES TO AUBURN'S PROGRAMMING ABOUT THE IMPORTANCE OF BRIDGING RELIGIOUS DIVIDES.

Part XIII Supplemental Information (continued)

PART V, LINE 4: AUBURN THEOLOGICAL SEMINARY'S TRUE ENDOWMENT (ALSO KNOWN AS THE PERMANENTLY RESTRICTED NET ASSETS) SUPPORTS GENERAL EDUCATIONAL, RESEARCH, AND SCHOLARSHIP PURPOSES OF THE SEMINARY.

PART X, LINE 2: AUBURN RECOGNIZES THE EFFECT OF INCOME TAX POSITIONS ONLY WHEN THEY ARE MORE LIKELY THAN NOT TO BE SUSTAINED. MANAGEMENT HAS DETERMINED THAT AUBURN HAD NO UNCERTAIN TAX POSITIONS THAT WOULD REQUIRE FINANCIAL STATEMENT RECOGNITION. AUBURN IS NO LONGER SUBJECT TO EXAMINATIONS BY THE APPLICABLE TAXING JURISDICTIONS FOR PERIODS PRIOR TO FISCAL 2010.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

DIRECT COST OF SPECIAL EVENTS REPORTED ON PART VIII, LINE

8B:	117,118.
-----	----------

PART XI, LINE 4B - OTHER ADJUSTMENTS:

RETURN OF UNUSED GRANT FUNDS FROM PREVIOUS YEAR:	124,859.
--	----------

LOSS ON PROGRAM TERMINATION:	163,868.
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TOTAL TO SCHEDULE D, PART XI, LINE 4B	288,727.
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PART XII, LINE 2D - OTHER ADJUSTMENTS:

DIRECT COST OF SPECIAL EVENTS REPORTED ON PART VIII, LINE

8B:	117,118.
-----	----------

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization **AUBURN THEOLOGICAL SEMINARY** Employer identification number **15-0532053**

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
CENTRAL AMERICA AND THE CARIBBEAN -			INVESTMENTS IN FOREIGN CORPORATIONS		6,650,827.
MIDDLE EAST AND NORTH AFRICA	0	1	PROGRAM SERVICES	TEEN LEADERSHIP PROGRAM: FACE TO FACE/FAITH TO FAITH	41,555.
EUROPE (INCLUDING ICELAND & GREENLAND)	0	1	PROGRAM SERVICES	TEEN LEADERSHIP PROGRAM: FACE TO FACE/FAITH TO FAITH	50,472.
SUB-SAHARAN AFRICA	0	1	PROGRAM SERVICES	TEEN LEADERSHIP PROGRAM: FACE TO FACE/FAITH TO FAITH	41,000.
3 a Sub-total	0	3			6,783,854.
b Total from continuation sheets to Part I	0	0			0.
c Totals (add lines 3a and 3b)	0	3			6,783,854.

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)

DRAFT

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶ _____

3 Enter total number of other organizations or entities ▶ _____

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)

DRAFT

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report. (see Instructions for Form 5713)* Yes No

Schedule F (Form 990) 2012

DRAFT

SCHEDULE G
(Form 990 or 990-EZ)

Supplemental Information Regarding Fundraising or Gaming Activities

OMB No. 1545-0047

2012

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

Open To Public Inspection

Name of the organization **AUBURN THEOLOGICAL SEMINARY** Employer identification number **15-0532053**

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a Mail solicitations
 - b Internet and email solicitations
 - c Phone solicitations
 - d In-person solicitations
 - e Solicitation of non-government grants
 - f Solicitation of government grants
 - g Special fundraising events
- 2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No
- b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
SUSAN ULIN ASSOCIATES LTD - 156 FIFTH AVE., SUITE 1100,	FUNDRAISING CONSULTING		X	415,367.	55,000.	360,367.
Total				415,367.	55,000.	360,367.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.
NY, IL, AL, AK, AZ, AR, CA, CO, CT, DE, FL, GA, ID, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO
MT, NE, NV, NH, NJ, NM, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY, HI, NC

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		LIVES OF COMMITMENT B (event type)	(event type)	2 (total number)	(add col. (a) through col. (c))
Revenue	1	Gross receipts	465,366.		465,366.
	2	Less: Contributions	378,811.		378,811.
	3	Gross income (line 1 minus line 2)	86,555.		86,555.
Direct Expenses	4	Cash prizes			
	5	Noncash prizes			
	6	Rent/facility costs	50,271.		50,271.
	7	Food and beverages			
	8	Entertainment	28,065.		28,065.
	9	Other direct expenses	38,782.		38,782.
	10	Direct expense summary. Add lines 4 through 9 in column (d)			(117,118)
	11	Net income summary. Combine line 3, column (d), and line 10			-30,563.

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
		1	Gross revenue		
Direct Expenses	2	Cash prizes			
	3	Noncash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No
7	Direct expense summary. Add lines 2 through 5 in column (d)				(_____)
8	Net gaming income summary. Combine line 1, column d, and line 7				

9 Enter the state(s) in which the organization operates gaming activities: _____
 a Is the organization licensed to operate gaming activities in each of these states? Yes No
 b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No
 b If "Yes," explain: _____

- 11 Does the organization operate gaming activities with nonmembers? Yes No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13 Indicate the percentage of gaming activity operated in:

13a		%
13b		%
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ► _____

Address ► _____

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No
- b If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____.
- c If "Yes," enter name and address of the third party:

Name ► _____

Address ► _____

16 Gaming manager information:

Name ► _____

Gaming manager compensation ► \$ _____

Description of services provided ► _____

- Director/officer Employee Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____

Part IV Supplemental Information. Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:

(I) NAME OF FUNDRAISER: SUSAN ULIN ASSOCIATES LTD

(I) ADDRESS OF FUNDRAISER: 156 FIFTH AVE., SUITE 1100, NEW YORK, NY 01060

SCHEDULE G, PART I, LINE 2B, COLUMN (V): SUSAN ULIN ASSOCIATES LTD.

SHALL RECEIVE A FIXED FEE OF \$55,000. IF ADDITIONAL SERVICES ARE NEEDED, THESE SERVICES WILL BE APPROVED BY THE SEMINARY AND BILLED AT THE RATE OF \$35 PER HOUR.

Part IV Supplemental Information (continued)

PAYMENTS ARE SCHEDULED AS FOLLOWS: \$10,000 UPON THE SIGNING OF THE CONTRACT; \$8,000 ON THE FIRST OF EACH MONTH FOR THE PERIOD DECEMBER 1, 2012 THROUGH AND INCLUDING APRIL 1, 2013; AND, A FINAL PAYMENT OF \$5,000 DUE MAY 1, 2013 (SIGNING FEE + SIX PAYMENTS). IF PAYMENTS ARE PAST DUE BY MORE THAN 30 DAYS, A FINANCE CHARGE OF 1.5% PER MONTH WILL BE ASSESSED ON OUTSTANDING BALANCES AND SUSAN ULIN ASSOCIATES SHALL HAVE THE RIGHT TO CANCEL THE CONTRACT WITHOUT COST, PENALTY OR LIABILITY BY PROVIDING 30 DAYS WRITTEN NOTICE OF INTENT TO CANCEL. CANCELLATION SHALL BE EFFECTIVE IMMEDIATELY IF PERSONALLY DELIVERED, OR WHEN DEPOSITED IN A MAILBOX IF SAID NOTICE OF CANCELLATION IS PROPERLY ADDRESSED AND POSTAGE PREPAID.

ADDITIONAL EXPENSES REIMBURSED TO SUSAN ULIN ASSOCIATES FOR THE ADMINISTRATIVE SUPPORT OF THE EVENT, NOT EXPECTED TO EXCEED \$1,000, INCLUDE COURIER SERVICES, POSTAGE, EXPRESS MAIL AND MISCELLANEOUS SUPPLIES. THESE EXPENSES ARE SUBJECT TO THE SEMINARY'S PRIOR APPROVAL AND WILL BE BILLED ON A MONTHLY BASIS. TRANSPORTATION EXPENSES, TO BE BILLED ON A MONTHLY BASIS, ARE NOT EXPECTED TO EXCEED \$750 AND WILL BE USED FOR STAFF TO TRAVEL TO/FROM MEETINGS AND THE EVENT. ALL OTHER MAJOR EXPENSES (E.G. VENUE, MUSIC, AUDIO-VIDEO, DECOR AND GRAPHIC DESIGN) WILL BE APPROVED BY THE SEMINARY AND BILLED BY THE VENDOR DIRECTLY TO THE SEMINARY.

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

**Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.**

OMB No. 1545-0047

2012

**Open to Public
Inspection**

Name of the organization

AUBURN THEOLOGICAL SEMINARY

**Employer identification number
15-0532053**

Part I General Information on Grants and Assistance

- 1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? **Yes** **No**
- 2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ANDOVER NEWTON THEOLOGICAL SCHOOL 210 HERRICK ROAD NEWTON CENTER, MA 02159	04-2104775	501(C)3	6,951.	0.			GRANT FOR JOURNAL ON INTERRELIGIOUS STUDIES

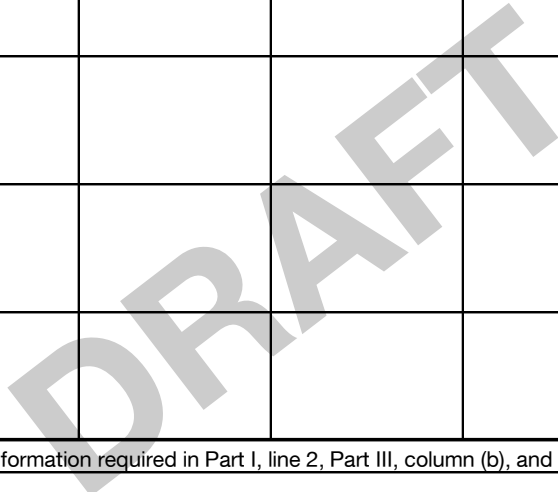
- 2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table **1.**
- 3** Enter total number of other organizations listed in the line 1 table

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2012)

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
SCHOLARSHIPS	6	5,605.	0.		



Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

SCHEDULE I, PART I, LINE 2: THE ORGANIZATION OFFERS SCHOLARSHIPS TO GRADUATING STUDENTS WHO ARE CONTINUING THEIR EDUCATION. THE GRANTS ARE AWARDED TO THE RECIPIENTS AND THEY ARE TO USE THE FUNDS WITHIN FIVE YEARS FOR THEIR EDUCATION.

THE JOURNAL OF INTERRELIGIOUS DIALOGUES (JIRD) AND THE STATE OF FORMATION BLOGGING PLATFORM WERE PROGRAMS OF AUBURN SEMINARY BETWEEN 2010 AND JULY 1, 2012, AT THE REQUEST OF FOUNDERS JOSHUA STANTON AND STEPHANIE HUGHES, THESE INITIATIVES LEFT AUBURN ON JULY 1, 2012 TO BECOME PROGRAMS OF THE CENTER

Part IV Supplemental Information

FOR INTER-RELIGIOUS AND COMMUNAL LEADERSHIP EDUCATION AT HEBREW COLLEGE AND ANDOVER NEWTOWN THEOLOGICAL SCHOOL.

AUBURN IS RELEASING THE REMAINING FUNDS THAT WERE DESIGNATED TO SUPPORT JIRD ACTIVITIES DURING THE PERIOD THESE INITIATIVES WERE AT AUBURN. THESE FIGURES INCLUDE FUNDS FROM INDIVIDUAL GIFTS AND FUNDS FROM THE HENRY LUCE FOUNDATION RECEIVED IN A GIFT TO AUBURN TO BE USED FOR JIRD/SOF PROGRAMS BETWEEN 2010-2013. AUBURN'S FINANCE TEAM HAS CAREFULLY REVIEWED REVENUES AND EXPENSES AND HAVE DETERMINED THAT A SURPLUS WAS AVAILABLE TO SUPPORT FUTURE JIRD ACTIVITIES.

SOME OF THESE FUNDS WERE GIFTED BY THE HENRY LUCE FOUNDATION, AND USE OF THESE FUNDS MUST BE GOVERNED BY THE TERMS OF THE ORIGINAL GRANT DATED APRIL 15, 2010 AND UPDATED MAY 15, 2012. THAT GRANT DESCRIBES THE FOLLOWING ACTIVITIES FOR JIRD:

"JIRD HAS AMBITIOUS PLANS THAT INCLUDE HOSTING CONFERENCES, LAUNCHING A FELLOWSHIP PROGRAM FOR SEMINARIANS AND GRADUATE STUDENTS, DEVELOPING SIGNIFICANT ONLINE CONTENT ORIENTED AT BROAD PUBLICS, AND BUILDING UP AN ACADEMIC REPUTATION." [FROM MAY 2010 LUCE PROPOSAL]

BY SIGNING THE GRANT AGREEMENT, THE PARTIES CONFIRM THAT ALL OF THESE FUNDS WILL BE USED TO SUPPORT JIRD AND STATE OF FORMATION. AUBURN WILL REPORT DIRECTLY TO THE LUCE FOUNDATION THAT THESE FUNDS WERE REGRANTED TO HEBREW COLLEGE AND ANDOVER NEWTON THEOLOGICAL SCHOOL FOR THE SUPPORT OF JIRD. BY ACCEPTING THIS AGREEMENT, HEBREW COLLEGE AND ANDOVER NEWTON THEOLOGICAL SCHOOL ACKNOWLEDGE THAT THEY WILL KEEP ACCURATE RECORDS THAT MAY BE AUDITED OR REVIEWED IN THE FUTURE BY AUBURN, AUBURN'S AUDITORS, OR, IF REQUESTED,

Part IV Supplemental Information

BY THE LUCE FOUNDATION.

DRAFT

SCHEDULE J (Form 990)

Compensation Information

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

2012

Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Attach to Form 990. See separate instructions.

Name of the organization

AUBURN THEOLOGICAL SEMINARY

Employer identification number 15-0532053

Part I Questions Regarding Compensation

- 1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.
1b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above?
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director.
4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:
5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:
6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:
7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6?
8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)?
9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Table with 3 columns: Question ID, Yes, No. Contains rows for questions 1b, 2, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, 8, 9.

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) KATHARINE HENDERSON PRESIDENT	(i)	139,915.	0.	40,288.	18,360.	18,308.	216,871.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(2) JOHN VAUGHN EXECUTIVE VICE PRESIDENT	(i)	121,592.	0.	27,096.	15,300.	25,783.	189,771.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(3) VALARIE BRAR SENIOR FELLOW	(i)	156,337.	0.	264.	10,083.	16,529.	183,213.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(4) BARBARA WHEELER THEOLOGICAL EDU. DIR. THRU JAN. 2013	(i)	129,321.	0.	288.	25,000.	8,513.	163,122.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(5) JOHN C. AUSTIN DIRECTOR, CENTER FOR CHRIS	(i)	67,495.	0.	45,288.	11,941.	25,783.	150,507.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(6) WALLACE ALSTON SENIOR DIRECTOR, MEDIA & COMM	(i)	123,092.	0.	288.	12,255.	25,783.	161,418.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(7) JUSTUS BAIRD DIRECTOR, CENTER FOR MULTIFAITH EDU	(i)	119,058.	0.	288.	12,000.	25,783.	157,129.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1A: EXPLANATION OF HOUSING ALLOWANCE:

DURING THE CALENDAR YEAR 2012, THE REV. DR. KATHARINE HENDERSON WAS PAID A HOUSING ALLOWANCE OF \$40,000. THE REV. JOHN CHARLES AUSTIN WAS PAID A HOUSING ALLOWANCE OF \$45,000. THE REV. JOHN VAUGHN WAS PAID A HOUSING ALLOWANCE OF \$26,808. ALL OF THE HOUSING ALLOWANCES WERE REPORTED ON THE INDIVIDUAL'S 2012 W-2.

PART I, LINE 4B: BARBARA WHEELER PARTICIPATED IN A SUPPLEMENTAL NONQUALIFIED RETIREMENT PLAN. THERE WERE NO CONTRIBUTIONS OR DISTRIBUTIONS MADE TO THIS PLAN IN 2012.

SCHEDULE L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions With Interested Persons

**▶ Complete if the organization answered
"Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c,
or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No. 1545-0047

2012

**Open To Public
Inspection**

Name of the organization **AUBURN THEOLOGICAL SEMINARY** Employer identification number **15-0532053**

Part I Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958 ▶ \$ _____

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$ _____

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22.

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
BARBARA WHEELER	SEE PT V	SEE PT V		X	154,804.	143,802.		X	X		X	
Total						▶ \$ 143,802.						

Part III Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

SEE PART V FOR CONTINUATIONS

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No

Part V Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule L (see instructions).

SCHEDULE L, PART II, LOANS TO AND FROM INTERESTED PERSONS:

(A) NAME OF PERSON: BARBARA WHEELER

(B) RELATIONSHIP WITH ORGANIZATION: FORMER PRESIDENT OF ORGANIZATION

(C) PURPOSE OF LOAN: COVER TAXES FOR DEF. COMP. ACCELERATED AS A RESULT OF CHARTER AMENDMENTS

DRAFT

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No. 1545-0047

2012

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
▶ **Attach to Form 990.**

Name of the organization **AUBURN THEOLOGICAL SEMINARY** Employer identification number **15-0532053**

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods	X		2,500.	FAIR MARKET VALUE
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded				
10 Securities - Closely held stock	X	4	59,526.	FAIR MARKET VALUE
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (_____)				
26 Other ▶ (_____)				
27 Other ▶ (_____)				
28 Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement **29** **1**

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	X	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?		X
b If "Yes," describe in Part II.		
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule M (Form 990) (2012)

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

AUBURN THEOLOGICAL SEMINARY

Employer identification number

15-0532053

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

JUSTICE, AND HEAL THE WORLD. AUBURN ENVISIONS RELIGION AS A CATALYST
AND RESOURCE FOR A NEW WORLD - ONE IN WHICH DIFFERENCE IS CELEBRATED,
ABUNDANCE IS SHARED, AND PEOPLE ARE HOPEFUL, WORKING FOR A FUTURE THAT
IS BETTER THAN TODAY.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THIS ENABLES LEADERS TO BRIDGE RELIGIOUS DIVIDES, BUILD COMMUNITY,
PURSUE JUSTICE AND HEAL THE WORLD. A SEMINARY WITH MULTIFAITH AND
CHRISTIAN ROOTS THAT FACES THE CHALLENGES OF OUR FRAGMENTED, COMPLEX,
VIOLENT TIME, AUBURN WAS FOUNDED IN 1818 AS A PRESBYTERIAN SEMINARY.
FROM ITS EARLIEST YEARS ITS LEADERS HAVE CHAMPIONED SOCIAL MOVEMENTS
SUCH AS ABOLITION, PRISON REFORM, WOMEN'S SUFFRAGE, CIVIL AND HUMAN
RIGHTS AND STOOD AGAINST RELIGIOUS FUNDAMENTALISMS.

FORM 990, PART III, LINE 3, CHANGES IN PROGRAM SERVICES:

AS OF MAY 9, 2013 AFTER A GREAT DEAL OF REFLECTION AND CONSULTATION
AUBURN THEOLOGICAL SEMINARY MADE THE DECISION TO END ITS RELIGIOUS
DISPATCHES PROGRAM WHICH HAD BEEN ACQUIRED IN JUNE OF 2012. WHILE THE
PROGRAM AMPLIFIED THE VOICES OF TALENTED WRITERS AT THE INTERSECTION OF
RELIGION AND PUBLIC LIFE, WE CONCLUDED THAT ITS FULL POTENTIAL WOULD
NOT BE REACHED WITH EXISTING STRUCTURES IN PLACE. WE VALUE OUR TRUSTED
AND LENGTHY RELATIONSHIP WITH THE FUNDERS WHO HAVE GENEROUSLY PROVIDED
UNDERWRITING OF RELIGION DISPATCHES SINCE ITS INCEPTION. WE RETURNED
ALL FUNDS REMAINING TO THE FUNDERS GIVEN THAT AUBURN WOULD NOT BE
COMPLETING THE PROGRAMMATIC OBLIGATIONS OF THOSE GRANTS.

Name of the organization

AUBURN THEOLOGICAL SEMINARY

Employer identification number

15-0532053

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

THIS CARE HELPS THEM SUSTAIN THEIR LEADERSHIP.

THE ENTREPRENEURIAL MINISTRY FELLOWSHIP EQUIPS PASTORS WITH THE THEOLOGICAL AND PRACTICAL CAPACITIES AND SUPPORT THEY NEED TO DEVELOP NEW FAITH COMMUNITIES.

AUBURN'S COACHING PROGRAMS ADVANCE THE CHRISTIAN LEADERSHIP FORMATION OF PASTORS, STRENGTHENING THEIR ORGANIZATIONAL LEADERSHIP, PERSONAL RESILIENCE AND VOCATIONAL DISCERNMENT. SPECIAL SESSIONS FOR WOMEN SENIOR PASTORS HELP THEM TO ASSUME LEADERSHIP ROLES IN LARGE INSTITUTIONS. COACH TRAINING ALSO PREPARES PASTORS TO COACH OTHERS.

THE CROSSCURRENTS MULTIFAITH RESEARCH COLLOQUIUM CONVENES RELIGION SCHOLARS, CLERGY, SOCIOLOGISTS AND ACTIVISTS FOR IN-DEPTH EXPLORATION OF COMMONALITIES AND DIFFERENCES.

AUBURN TAKES SPECIAL RESPONSIBILITY FOR PRESBYTERIAN STUDENTS ENROLLED AT UNION THEOLOGICAL SEMINARY AND NEW YORK THEOLOGICAL SEMINARY, PROVIDING COURSES, WORKSHOPS AND COUNSELING TO HELP THEM MEET DENOMINATIONAL REQUIREMENTS FOR ORDINATION.

PUBLIC PLATFORMS THAT BRIDGE DIVIDES AND BUILD COMMUNITY INCLUDE:

THE JACK AND LEWIS RUDIN LECTURES - BRINGING A MAJOR THOUGHT LEADER IN RELIGION OR A RELATED FIELD TO PRESENT TO THE GENERAL COMMUNITY.

Name of the organization AUBURN THEOLOGICAL SEMINARY	Employer identification number 15-0532053
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MULTIFAITH SEMINARS HELD WITH PARTNER INSTITUTIONS SUCH AS NEW YORK UNIVERSITY'S OF MANY INSTITUTE - PROVIDING OPPORTUNITIES FOR STUDENTS AND COMMUNITY MEMBERS OF DIVERSE BACKGROUNDS TO STUDY SACRED TEXTS AND UNDERSTAND RELIGION IN TODAY'S SOCIETY.

THE WOMEN'S MIDDLE EAST INITIATIVE - BRINGING WOMEN LEADERS OF DIVERSE FAITHS TO THE REGION TO STUDY AND VISIT WITH LOCAL JEWS, CHRISTIANS, AND MUSLIMS TO BUILD UNDERSTANDING AND ADVANCE PEACE IN THE MIDDLE EAST.

THE NUMBER OF PEOPLE BENEFITED:

DIRECT PARTICIPANTS, STAFF, AND STUDENTS IN MULTIFAITH PROGRAMS: 845

DIRECT PARTICIPANTS, STAFF, AND STUDENTS IN WOMEN'S PROGRAMS: 614

DIRECT PARTICIPANTS, STAFF, AND STUDENTS IN COACHING PROGRAMS: 177

RECIPIENTS OF CONSULTING SERVICES: 57

ATTENDEES AT SPEAKING ENGAGEMENTS: 3,633

TOTAL NUMBER OF PEOPLE BENEFITED IN EDUCATION/THEOLOGY: 5,326.

NOTE: THESE INDIVIDUALS REPRESENT HUNDREDS OF ORGANIZATIONS. OUR WORK POSITIVELY BENEFITS THE MEMBERS/CONSTITUENTS OF THOSE ORGANIZATIONS AND THE THOUSANDS OF AMERICANS THEY REPRESENT.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

KEY ISSUES INCLUDE GUN VIOLENCE PREVENTION, MARRIAGE EQUALITY, ECONOMIC JUSTICE AND HUMAN DIGNITY.

THE FAITHSOURCE SPEAKERS' BUREAU CONSISTS OF PROMINENT CLERGY AND RELIGION EXPERTS HELP JOURNALISTS AND OTHER MEDIA MAKERS TO CONNECT

Name of the organization

AUBURN THEOLOGICAL SEMINARY

Employer identification number

15-0532053

WITH AND COVER THE RELIGIOUS VOICES AND STORIES WE MOST NEED TO HEAR.

AUBURN MEDIA DEVELOPS AND/OR DISTRIBUTES CURRICULA, INCLUDING DOCUMENTARY FILMS AND VIDEOS ON SOCIAL ISSUES AND RELIGION - FOR FAITH LEADERS TO USE IN THEIR WORK TO EDUCATE AND GALVANIZE THEIR CONSTITUENCIES.

AUBURN MEDIA'S ANNUAL RELIGION AND MEDIA WORKSHOP AT THE AMERICAN ACADEMY OF RELIGION'S ANNUAL CONFERENCE ENABLES SCHOLARS OF RELIGION, MEDIA AND TECHNOLOGY TO SHARE NEW THINKING, PILOT NEW IDEAS AND BUILD COMMUNITY.

NUMBER OF PEOPLE BENEFITED:

TOTAL DIRECT PARTICIPANTS IN AUBURN MEDIA TRAININGS AND COACHING SESSIONS: 754

TOTAL NUMBER OF PARTICIPANTS IN FILM SCREENINGS OF "LOVE FREE OR DIE" AND/OR ATTENDEES OF LECTURES/SPEAKING ENGAGEMENTS: 16,200.

NOTE: THESE INDIVIDUALS REPRESENT HUNDREDS OF ORGANIZATIONS. OUR WORK POSITIVELY BENEFITS THE MEMBERS/CONSTITUENTS OF THOSE ORGANIZATIONS AND THE THOUSANDS OF AMERICANS THEY REPRESENT.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

AUBURN LEADERS ALSO WORK ON PREVENTING HUMAN TRAFFICKING, ACHIEVING A MORAL ECONOMY, COUNTERING ISLAMOPHOBIA AND XENOPHOBIA, WORKING FOR MARRIAGE EQUALITY, AND PROTECTING THE DIGNITY OF MARGINALIZED PEOPLE INCLUDING IMMIGRANTS.

MOUNTAINTOP IS BOTH AN OFFLINE AND ONLINE PLATFORM FOR CONVENING FAITH

Name of the organization AUBURN THEOLOGICAL SEMINARY	Employer identification number 15-0532053
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LEADERS AND THEIR COLLABORATORS TO EXPLORE STRATEGIES FOR DEEPENING THE
 ROLE OF RELIGION IN BUILDING A MORE JUST SOCIETY AND TO PROMOTE
 COLLABORATION AND NETWORKS AMONG LEADERS OF DIVERSE FAITHS, ISSUES AND
 SECTORS.

NUMBER OF PEOPLE BENEFITED:

GROUNDSWELL CAMPAIGNS CREATED AND ACTIONS TAKEN: 57,553.

NUMBER OF INDIVIDUALS ON GROUNDSWELL ACTION EMAIL LIST: 78,000

TOTAL NUMBER OF PEOPLE WHO GATHERED AT MOUNTAINTOP, JUNE 2013: 80

TOTAL NUMBER OF PEOPLE DIRECTLY BENEFITED: 135,633

NOTE: THESE INDIVIDUALS REPRESENT HUNDREDS OF ORGANIZATIONS. OUR WORK
 POSITIVELY BENEFITS THE MEMBERS/CONSTITUENTS OF THOSE ORGANIZATIONS AND
 THE THOUSANDS OF AMERICANS THEY REPRESENT.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

RESEARCH: THE CENTER FOR THE STUDY OF THEOLOGICAL EDUCATION (CSTE) IS
 THE ONLY RESEARCH INSTITUTE DEVOTED SOLELY TO THEOLOGICAL EDUCATION.

THE APPLIED RESEARCH AND CONSULTING IT CONDUCTS BUILDS KNOWLEDGE
 BENEFITING BOTH INSTITUTIONS THAT EDUCATE RELIGIOUS LEADERS AS WELL AS
 THEIR STAKEHOLDERS. CSTE IDENTIFIES AND EXPLORES KEY ISSUES SUCH AS
 STUDENT LIFE AND DEBT, EDUCATIONAL AND ADMINISTRATIVE LEADERSHIP,
 FACULTY AND CURRICULUM, FINANCES AND THE PUBLIC ROLE OF THEOLOGICAL
 SCHOOLS. CSTE CONSULTANTS HELP SCHOOLS EVALUATE PROGRAMS, DEVELOP
 STRATEGIES, FORGE NEW INSTITUTIONAL PARTNERSHIPS, ACHIEVE FINANCIAL
 STABILITY, AND SUPPORT THEIR SENIOR LEADERSHIP.

CSTE REPORTS, PUBLICATIONS AND VIDEOS ON CRITICAL ISSUES AND PROBLEMS

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IN GRADUATE-LEVEL THEOLOGICAL EDUCATION ARE WIDELY DISTRIBUTED IN PRINT AND/OR ON LINE TO EDUCATORS AND DECISION-MAKERS IN THEOLOGICAL EDUCATION, HIGHER EDUCATION, THE RESEARCH COMMUNITY AND THE PRESS.

CSTE PUBLICATIONS INCLUDE: AUBURN STUDIES, SIGNATURE RESEARCH REPORTS, BACKGROUND RESEARCH REPORTS, AND A 30-MINUTE VIDEO TO ASSIST SEMINARY STUDENTS IN FINANCIAL PLANNING.

THE NUMBER OF PERSONS BENEFITED:

GRADUATE THEOLOGICAL SCHOOLS IN THE U.S. AND CANADA DEPENDING ON CSTE FOR THE LATEST RESEARCH ON THEOLOGICAL EDUCATION: 260

PRESIDENTS, ADMINISTRATORS, DEANS, FACULTY, STUDENTS OF THEOLOGICAL SCHOOLS, AS WELL AS RELIGIOUS INSTITUTION OFFICES AND OTHERS INTERESTED IN THE TRAINING OF RELIGIOUS LEADERS IN NORTH AMERICA RECEIVING COPIES OF CSTE REPORTS (DISTRIBUTION NUMBERS): 5,627

SCHOOLS OR INSTITUTIONS RECEIVING CSTE CONSULTANT SERVICES: 14

NOTE: THESE RESEARCH AND CONSULTING RESULTS BENEFIT THE THOUSANDS OF FACULTY, ADMINISTRATORS, BOARD MEMBERS AND STUDENTS OF THE ABOVE U.S./CANADIAN SEMINARIES.

EXPENSES \$ 859,669. INCLUDING GRANTS OF \$ 2,914. REVENUE \$ 10,272.

FORM 990, PART VI, SECTION B, LINE 11: THE FORM 990 IS PREPARED IN DRAFT FORMAT BY O'CONNOR DAVIES LLP BASED ON INFORMATION PROVIDED BY THE FINANCE OFFICE. THE DRAFT IS REVIEWED CAREFULLY BY THE CFO AND ANY NECESSARY CORRECTIONS ARE MADE. THIS SEMI-FINAL DRAFT IS PROVIDED IN BOTH PAPER AND ELECTRONIC FORMAT TO THE FINANCE COMMITTEE OF THE BOARD FOR THEIR REVIEW, ALONG WITH A SUMMARY NARRATIVE PROVIDED BY THE CFO. THE FINANCE COMMITTEE HAS AN OPPORTUNITY TO ASK QUESTIONS OR TO MAKE FURTHER CHANGES AT THIS JUNCTURE. ONCE THESE UPDATES HAVE BEEN IMPLEMENTED, THE FINAL DRAFT OF THE

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FORM 990 IS DISTRIBUTED TO THE FULL BOARD OF DIRECTORS, IN BOTH PAPER AND ELECTRONIC VERSIONS, FOR THEIR REVIEW AND VOTE. THE FORM 990 IS THEN FILED AFTER THE DOCUMENT HAS BEEN REVIEWED BY THE FULL BOARD, AND AFTER ANY AND ALL QUESTIONS ARE ADDRESSED AND ANSWERED.

FORM 990, PART VI, SECTION B, LINE 12C: THE CONFLICT OF INTEREST POLICY IS ANNUALLY GIVEN TO ALL BOARD AND SENIOR STAFF MEMBERS. THE FINANCE AND ADMINISTRATION OFFICE KEEPS COPIES OF ALL THE FORMS SUBMITTED. IF THERE ARE REPORTS OF POTENTIAL CONFLICTS OF INTEREST, THESE ARE BROUGHT TO THE ATTENTION OF THE CFO, WHO BRINGS THEM TO THE FINANCE COMMITTEE OF THE BOARD FOR FURTHER REVIEW. ANY INDIVIDUAL WHO HAS A REPORTED POTENTIAL CONFLICT IS RECUSED FROM THE ROOM WHEN THIS TOPIC IS DISCUSSED. AFTER REVIEW THE FINANCE COMMITTEE BRINGS ITS RECOMMENDATION TO THE FULL BOARD FOR A VOTE ON HOW TO BEST HANDLE THE MATTER.

FORM 990, PART VI, SECTION B, LINE 15: THE PRESIDENT'S COMPENSATION IS DETERMINED BY A BOARD COMPENSATION COMMITTEE THAT WORKS WITH AUBURN'S HISTORIC APPROACH TO COMPENSATION AS WELL AS CURRENT COMPARABLES WITH OTHER ORGANIZATIONS THROUGH RESEARCH AND SURVEYS. THE FINANCE COMMITTEE OF THE BOARD CONDUCTS AN ANNUAL REVIEW OF OTHER OFFICERS AND KEY EMPLOYEES INCLUDING A CONSIDERATION OF CURRENT COMPARABLES AND STUDY OF PUBLISHED COMPENSATION. BOARD MEMBERS ARE FREQUENTLY INVOLVED IN THE HIRING PROCESS FOR SENIOR STAFF MEMBERS. THE FULL BOARD'S APPROVAL OF THESE DECISIONS IS DOCUMENTED IN THE MOTION TO APPROVE THE ANNUAL BUDGET. THE PRESIDENT'S SALARY WAS LAST UPDATED IN 2009; THE EXECUTIVE VP IN 2013 AND THE CFO IN 2011.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:

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NY, IL, AL, AK, AZ, AR, CA, CO, CT, DE, FL, GA, ID, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO
MT, NE, NV, NH, NJ, NM, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY, HI, NC

FORM 990, PART VI, SECTION C, LINE 19: AUBURN THEOLOGICAL SEMINARY MAKES ITS FORM 990 AND FORM 1023 AND THE AUDITED FINANCIAL STATEMENTS AVAILABLE FOR PUBLIC INSPECTION AS REQUIRED UNDER SECTION 6104 OF THE INTERNAL REVENUE CODE BY POSTING IT ON ITS OWN WEBSITE, AUBURNSEMINARY.ORG, AS WELL AS ON GUIDESTAR.ORG AND OTHER SIMILAR TYPES OF WEBSITES. IN ADDITION FORMS 990 AND FORM 1023 AS WELL AS THE FINANCIAL STATEMENTS AND CONFLICT OF INTEREST POLICY ARE AVAILABLE UPON WRITTEN REQUEST AT 3041 BROADWAY, NEW YORK, NY 10027 OR BY CALLING THE ORGANIZATION DIRECTLY AT (212) 662-4315.

FORM 990, PART IX, LINE 11G, OTHER FEES:

INTERNS & TEMPORARY HELP:

PROGRAM SERVICE EXPENSES	9,154.
MANAGEMENT AND GENERAL EXPENSES	7,936.
FUNDRAISING EXPENSES	0.
TOTAL EXPENSES	17,090.

STRATEGIC PLANNING CONSULTANTS:

PROGRAM SERVICE EXPENSES	84,223.
MANAGEMENT AND GENERAL EXPENSES	11,230.
FUNDRAISING EXPENSES	16,845.
TOTAL EXPENSES	112,298.

RESEARCH CONSULTANTS:

PROGRAM SERVICE EXPENSES	43,908.
MANAGEMENT AND GENERAL EXPENSES	15,000.

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FUNDRAISING EXPENSES 0.

TOTAL EXPENSES 58,908.

COMMUNICATIONS PROFESSIONALS:

PROGRAM SERVICE EXPENSES 223,655.

MANAGEMENT AND GENERAL EXPENSES 6,045.

FUNDRAISING EXPENSES 0.

TOTAL EXPENSES 229,700.

EDUCATIONAL CONSULTANTS:

PROGRAM SERVICE EXPENSES 143,969.

MANAGEMENT AND GENERAL EXPENSES 0.

FUNDRAISING EXPENSES 0.

TOTAL EXPENSES 143,969.

COACHES:

PROGRAM SERVICE EXPENSES 59,079.

MANAGEMENT AND GENERAL EXPENSES 0.

FUNDRAISING EXPENSES 0.

TOTAL EXPENSES 59,079.

WRITERS AND EDITORS:

PROGRAM SERVICE EXPENSES 148,296.

MANAGEMENT AND GENERAL EXPENSES 0.

FUNDRAISING EXPENSES 0.

TOTAL EXPENSES 148,296.

FACE TO FACE PROGRAMMING:

232212
01-04-13

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PROGRAM SERVICE EXPENSES	147,073.
MANAGEMENT AND GENERAL EXPENSES	0.
FUNDRAISING EXPENSES	0.
TOTAL EXPENSES	147,073.

PROGRAM CONTENT/PARTNERSHIPS CONSULTANT:

PROGRAM SERVICE EXPENSES	71,752.
MANAGEMENT AND GENERAL EXPENSES	0.
FUNDRAISING EXPENSES	47,834.
TOTAL EXPENSES	119,586.
TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A	1,035,999.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

RETURN OF UNUSED GRANT FUNDS FROM PREVIOUS YEAR:	-124,859.
LOSS ON PROGRAM TERMINATION:	-163,868.
TOTAL TO FORM 990, PART XI, LINE 9	-288,727.

FORM 990, PART XII, LINE 2C:

AUBURN HAS A COMMITTEE THAT ASSUMES RESPONSIBILITY FOR OVERSIGHT OF THE AUDIT OF ITS FINANCIAL STATEMENTS AND SELECTION OF AN INDEPENDENT AUDITOR. THIS PROCESS DID NOT CHANGE FROM THE PRIOR YEAR.